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## INDIANA UNIVERSITY

OFFICE OF THE VICE PRESIDENT FOR RESEARCH

April 27, 2018

Brent Morse, D.V.M., DACLAM Director, Division of Compliance Oversight OLAW/NIH RKL 1, Suite 360, MSC 7982 6705 Rockledge Drive Bethesda, MD 20892-7982 olawdco@mail.nih.gov

RE: Notice of Non-compliance for Assurance D16-00584 (A4091-01): Indiana University School of Medicine – Indianapolis.

Dear Dr. Morse:

This letter reports a new incident of non-compliance with PHS Policy and the Guide for the Care and Use of Laboratory Animals at the Indiana University School of Medicine for IACUC protocols covering laboratory mice.

An issue of possible animal welfare was discussed at a SOM IACUC full board committee meeting. Please find a summary of the reported incident below.

The committee discussed a report of 24 mice and pups found dead due to cages not being properly docked, resulting in the cages flooding inside a LARC facility. To ensure this would not occur in the future, the responsible member of the PI's lab has had LARC access removed and is no longer working with live animals. Additionally, the PI and human resources staff have determined that this person's contract with the institution will not be renewed.

After significant time in deliberation and based on their understanding of the facts presented, federal regulations, and expectations, the IACUC committee members determined that this issue qualifies as an animal welfare concern.

This activity is supported by PHS funds (5R00DK098294). The program official is copied on this letter.

Please do not hesitate to contact me if you have any questions after receiving this letter.

Sincerely yours. (b) (6)

Fred H. Cate Vice President for Research Distinguished Professor and C. Ben Dutton Professor of Law

cc:

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Robert Considine, Ph.D. (School of Medicine, IACUC Chair) Debra Hickman, D.V.M., M.S., DACLAM (Director, Laboratory Animal Resource Center) Megan Losh, M.P.A., CPIA (School of Medicine IACUC Director) (b) (6)

James Hyde (NIH Program Official: hydej@niddk.nih.gov)

## Worlff, Adver ( (NIH) (SDD) [EF]

F6917: Sent: T&: C&: Subject: QLAWDivision of Compliance Oversight ((NH//QD)) Tuesslay, May 01, 20186618 AM 'Losth, Megan' QLAWDivision of Compliance Oversight ((NH//QD)) RE: mon compliance reports -- D16-00584

Thank you for these reports, Wis. Losth. We will seend nessponses soon.

Axel Wolff, M.S., D.V.M. Deputy Director, OLAW

From: Losh, Megan [imailto:meglosh@iu.edu] Sent: Monday, April 30, 2018 4:06 PM To: OLAW Division of Compliance Oversight (INIH/OD) <olawdco@od.nih.gov> Ec: (b) (6)@iu.edu>; Considine, Robert V. <rconsidi@iu.edu>; Hickman, Debra <hickmand@iypui.edu> Subject: non-compliance reports - D16-00584

Dear OLAW =

Please find attached reports for Indiana University School of Medicine – Indianapolis (D16-00584). Please let me know if you have any questions.

Thank you,

Mégan Losh, MPA, CPIA IAEUE Director School of Medicine IACUC Office of Research Compliance Office of Vice President for Research Indiana University <u>meglosh@iu.edu</u> (b) (6)