



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive - MSC 7982
Bethesda, Maryland 20892-7982
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

November 14, 2017

Re: Animal Welfare Assurance
A4091-01 [OLAW Case 3X]

Dr. Fred H. Cate
Vice President for Research
Office of Research Administration
Indiana University School of Medicine
530 E. Kirkwood Ave. — Room #
Bloomington, IN 47408

Dear Dr. Cate,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your November 10, 2017 letter reporting a serious deviation from the provisions of the *Guide for the Care and Use of Laboratory Animals* at Indiana University. According to the information provided, OLAW understands that one mouse cage was overcrowded due to delayed weaning.

The corrective action consisted of separating the mice and having the animal care staff separate the mice for this Principal Investigator going forward.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to correct and prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy on Humane Care and Use of Laboratory Animals.

Sincerely,

Signature

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Chair

A 4091-3X



INDIANA UNIVERSITY

OFFICE OF THE VICE PRESIDENT
FOR RESEARCH

November 10, 2017

Brent Morse, D.V.M., DACLAM
Director, Division of Compliance Oversight
OLAW/NIH
RKL 1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982
olawdco@mail.nih.gov

RE: Notice of Non-compliance for Assurance D16-00584 (A 4091-01): Indiana University School of Medicine – Indianapolis.

Dear Dr. Morse:

This letter reports a new incident of non-compliance with PHS Policy and the Guide for the Care and Use of Laboratory Animals at the Indiana University School of Medicine for one of our IACUC protocols covering laboratory mice.

An issue of possible animal welfare was discussed at the September 2017 SOM IACUC full board committee meeting. Please find a summary of the reported incident below.

At the September 2017 School of Medicine IACUC meeting, the committee discussed a report about overcrowding of one mouse cage due to delayed weaning. Once LARC was aware of the issue, they separated the mice into an appropriate number of cages. To ensure this will not occur in the future, LARC services will continue to separate the cages for this PI.

After significant time in deliberation and based on their understanding of the facts presented, federal regulations, and expectations, the IACUC committee members determined that this issue qualifies as an animal welfare concern.


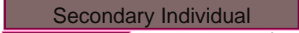
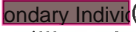
This activity is supported by PHS funds (5R01CA182947-04, 5R01AG046246-05, and 5R01HL096305-08). The program officials are copied on this letter.

Please do not hesitate to contact me if you have any questions after receiving this letter.

Sincerely yours,

Signature

Fred H. Cate
Vice President for Research
Distinguished Professor and C. Ben Dutton Professor of Law

cc:  (Associate Vice President of Research Compliance)
Robert Considine, Ph.D. (School of Medicine, IACUC Chair)
Debra Hickman, D.V.M., M.S., DACLAM (Director, Laboratory Animal Resource Center)
 (School of Medicine IACUC Director)
 (Executive Director of RIICE)
William Merritt (NIH Program Official: merrittw@mail.nih.gov)
John William (NIH Program Official: williamsj6@nia.nih.gov)
John Thomas (NIH Program Official: thomasi@nhlbi.nih.gov)

Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Monday, November 13, 2017 10:01 AM
To: Vice President for Research; OLAW Division of Compliance Oversight (NIH/OD)
Cc: Secondary Individual; Considine, Robert V.; Hickman, Debra; Secondary Individual; Secondary Individual; Merritt, William (NIH/NCI) [E]; Williams, John (NIH/NIA/ERP) [E]; Thomas, John W (NIH/NHLBI) [E]
Subject: RE: Notice of Non-compliance for Assurance D16-00584 (A 4091-01) (Indiana University School of Medicine – Indianapolis)

Thank you for submitting this report to OLAW. We will send an official response soon.

Regards, Brent Morse

Brent C. Morse, DVM, DACLAM
 Acting Director
 Division of Compliance Oversight
 Office of Laboratory Animal Welfare
 National Institutes of Health

Please note that this message and any of its attachments are intended for the named recipient(s) only and may contain confidential, protected or privileged information that should not be distributed to unauthorized individuals. If you have received this message in error, please contact the sender.

From: Vice President for Research [mailto:vpr@iu.edu]
Sent: Friday, November 10, 2017 12:56 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Secondary Individual @iu.edu>; Considine, Robert V. <rconsidi@iu.edu>; Hickman, Debra Secondary Individual @iupui.edu>; Secondary Individual @iu.edu>; Secondary Individual @iu.edu>; Merritt, William (NIH/NCI) [E] <merrittw@mail.nih.gov>; Williams, John (NIH/NIA/ERP) [E] <williamsj6@mail.nih.gov>; Thomas, John W (NIH/NHLBI) [E] <thomasj@nhlbi.nih.gov>
Subject: Notice of Non-compliance for Assurance D16-00584 (A 4091-01) (Indiana University School of Medicine – Indianapolis)


Dear Dr. Morse:

Attached here, please find a report of an instance of non-compliance with PHS Policy at Indiana University. This activity is funded by PHS funds (5R01CA182947-04, 5R01AG046246-05, and 5R01HL096305-08); the program officials are copied here.

Thank you,

Secondary Individual

Executive administrative assistant
 Office of the Vice President for Research
 Bryan Hall, Room # | 107 S. Indiana Avenue | Bloomington, IN 47405
 Phone Phone Number | Fax Phone Number | Secondary Individual @iu.edu

 INDIANA UNIVERSITY