



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive – MSC 7982
Bethesda, Maryland 20892-7982
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

February 27, 2017

Re: Animal Welfare Assurance
A3033-01 [OLAW Case 1V]

Dr. Sandra A. Brown
Vice Chancellor for Research
University of California-San Diego
9500 Gilman Drive, MC 0043
LaJolla, CA 92093-0043

Dear Dr. Brown,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your February 13, 2017 letter reporting a noncompliance with the PHS Policy on the Humane Care and Use of Laboratory Animals at the University of California, San Diego (UCSD). Your letter supplements the information provided in a preliminary report by phone on January 26, 2017. According to the information provided it is understood that during a semiannual inspection conducted by the IACUC in January, it was determined that there was inadequate post-surgical monitoring, poor record keeping and failure to report post-surgical complications to the veterinary health care staff. There were repeat violations in record-keeping and improper cage labeling. It was not noted whether or not the animals were assigned to a PHS-funded activity.

The corrective actions consisted of the IACUC placing the investigator's lab on probation for one year, during which the IACUC will increase the frequency of inspections of the lab and associated records. The lab may not perform surgeries until the PI has developed and completed a rigorous plan to retrain the laboratory personnel and create a culture of compliance. The PI has hired a new laboratory manager.

OLAW believes that the corrective and preventive measures put in place by the University of California, San Diego are consistent with the provisions of the PHS Policy on Humane Care and Use of Laboratory Animals. In future reports, please note if activities were supported by the PHS. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

Brent C. Morse, DVM
Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact

UNIVERSITY OF CALIFORNIA, SAN DIEGO

UCSD

BERKELEY • DAVIS • IRVINE • LOS ANGELES • MERCED • RIVERSIDE • SAN DIEGO • SAN FRANCISCO



SANTA BARBARA • SANTA CRUZ

OFFICE OF RESEARCH AFFAIRS

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 LA JOLLA, CALIFORNIA 92093-0043
 (858) 534-3526
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February 13, 2017

Brent Morse, DVM
 Division of Compliance Oversight
 Office of Laboratory Animal Welfare
 Rockledge One, Suite 360
 6705 Rockledge Drive – MSC 7982
 Bethesda, MD 20892-7982

Dear Dr. Morse,

Per the Public Health Service Policy IV.F.3.(a)(b), I am writing to advise you of a compliance deficiency in the laboratory of a UC San Diego (UCSD) Principal Investigator (PI). In January 2017, a semi-annual inspection conducted by the UCSD Institutional Animal Care and Use Committee (IACUC) discovered inadequate post-surgical monitoring, poor record-keeping and failure to report post-surgical complications to the veterinary health care staff. There were repeat violations in record-keeping and improper cage labeling.

The IACUC has placed the laboratory on probation for one year, during which time the IACUC will increase the frequency of inspections of the laboratory's animal use activities and associated records to assess compliance with the approved protocol(s) and UCSD policies. In addition, the laboratory may not perform any surgeries until the PI has developed and completed a rigorous plan to retrain the laboratory staff and create a culture of compliance in the laboratory. In the plan, the PI must address supervision, training, problem anticipation, monitoring, discipline, etc.

In response to these findings, the PI has hired a new laboratory manager, is currently retraining his staff and developing his plan to improve compliance. Surgery privileges will not be reinstated until the IACUC has approved this plan and all retraining has been completed.

If you have any questions, please contact me at Phone Number or sandrabrown@ucsd.edu.

Sincerely,

A handwritten signature in black ink, appearing to read "Sandra A. Brown".

Sandra A. Brown
 Vice Chancellor for Research
 IACUC Institutional Official
 University of California, San Diego

cc: Philip Richter, DVM, PhD, Director Animal Care Program
Secondary Individual Director IACUC Office
 Michael Gorman PhD, Chair, UCSD, IACUC
Secondary Individual Vice Chair UCSD IACUC
Secondary Individual UCSD, Executive Director, Research Compliance

Morse, Brent (NIH/OD) [E]

From: Morse, Brent (NIH/OD) [E]
Sent: Tuesday, February 14, 2017 7:48 AM
To: 'Brown, Sandra'
Cc: Secondary Individual; Secondary Individual; IACUC; Richter, Philip; Secondary Individual; Gorman, Michael
Subject: RE: UCSD Letter to OLAW

Thank you for sending this final report. I will send an official response soon.

Regards, Brent Morse

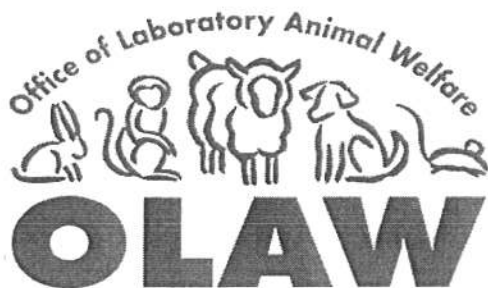
Brent C. Morse, DVM, DACLAM
Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

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From: Secondary Individual [mailto:Secondary Individual@ucsd.edu] **On Behalf Of** Brown, Sandra
Sent: Monday, February 13, 2017 6:02 PM
To: Morse, Brent (NIH/OD) [E] <morseb@mail.nih.gov>
Cc: Secondary Individual @ucsd.edu; Secondary Individual @ucsd.edu; Brown, Sandra <sandrabrown@ucsd.edu>; IACUC <iacuc@ucsd.edu>; Richter, Philip <pjrichter@ucsd.edu>; Secondary Individual <Secondary Individual@ucsd.edu>; Gorman, Michael <mgorman@ucsd.edu>
Subject: UCSD Letter to OLAW

Hello Dr. Morse,
Please see the attached letter from UC San Diego Vice Chancellor for Research, Sandra Brown.
Best,
Secondary Individual on behalf of Sandy

Secondary Individual
Office of Research Affairs
Secondary Individual@ucsd.edu Phone Number



Initial Report of Noncompliance

By: *MSM*Date: *1/26/17*Time: *12:10*

Name of Person reporting:

Secondary Individual

Telephone #:

Phone Number

Fax #:

Email:

Name of Institution:

Assurance number:

U.C. San Diego
*A3033*Did incident involve PHS funded activity? *?*

Funding component: _____

Was funding component contacted (if necessary): _____

What happened?

Post operative record keeping failures latest example of continuing history of noncompliance.

Species involved:

Personnel involved:

Dates and times:

Animal deaths:

Mice
*Investigator**Ongoing noncompliance*

Projected plan and schedule for correction/prevention (if known): _____

Probation + ↑ PAM,

Projected submission to OLAW of final report from Institutional Official:

OFFICE USE ONLY

Case # _____