



DEPARTMENT OF HEALTH & HUMAN SERVICES

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Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

January 3, 2019

Re: Animal Welfare Assurance
A3033-01 [OLAW Case 1Y]

Dr. Sandra A. Brown
Vice Chancellor for Research Affairs
University of California-San Diego
9500 Gilman Drive, [REDACTED]
LaJolla, CA 92093-0043

Dear Dr. Brown,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your December 20, 2018 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of California, San Diego, following up on an initial May 16, 2018 telephone notification. According to the information provided, OLAW understands that it was identified during a broadcast of a Principal Investigator's (PI's) laboratory on a local news station that an unauthorized procedure was being performed on mouse pups without prior IACUC approval. The animal activity in question was not supported by PHS funds.

The corrective actions consisted of issuing a letter of reprimand to the PI, amending the protocol, retraining laboratory personnel and the PI submitting an aggressive action plan to ensure compliance with the approved protocol and institutional policies. Based on the repeated violation, the IACUC also imposed a one year probation on the laboratory with enhanced oversight to further assess protocol and policy compliance.

Based on the information provided, OLAW is satisfied that appropriate actions have been taken to investigate, correct and prevent recurrence of the noncompliance. We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,

[REDACTED] (b) (6)

Neera V. Gopee, DVM, PhD, DAACLAM, DABT
Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Chair

(b) (6)

(b) (6)

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, December 26, 2018 6:38 AM
To: IACUC
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Letter from University of California, San Diego

Thank you for this report. We will respond soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: IACUC <iacuc@ucsd.edu>
Sent: Friday, December 21, 2018 2:14 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Brown, Sandra <sandrabrown@ucsd.edu>; Richter, Philip <pjrichter@ucsd.edu>; (b) (6)
(b) (6) McMahill, Angela <amcmahill@ucsd.edu>; Anderson-Vicino, Kristen <kca002@ucsd.edu>
Subject: Letter from University of California, San Diego

Dear Dr. Wolff,

Please find attached correspondence from Sandra A. Brown, Vice Chancellor for Research at the University of California, San Diego.

Regards,

Kristen

Kristen C. Anderson-Vicino, MS, CPIA, LATG
Director, IACUC Office
Research Compliance and Integrity
Office of the Vice Chancellor for Research | UC San Diego | 9500 Gilman Drive, La Jolla, CA 92093-0071
<http://blink.ucsd.edu/sponsor/iacuc/>

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Gopee, Neera (NIH/OD) [E]

From: Gopee, Neera (NIH/OD) [E]
Sent: Wednesday, December 19, 2018 1:14 PM
To: 'Anderson-Vicino, Kristen'
Subject: RE: OLAW Request for update on preliminary noncompliance report for A3033-1Y

Thank you for your prompt response Kristen, I look forward to receiving the final report by the end of the week.

Best Regards,
Neera

From: Anderson-Vicino, Kristen [mailto:kca002@ucsd.edu]
Sent: Wednesday, December 19, 2018 12:10 PM
To: Gopee, Neera (NIH/OD) [E] <neera.gopee@nih.gov>
Subject: RE: OLAW Request for update on preliminary noncompliance report for A3033-1Y

Hi Dr. Gopee,

Your email is timely as we are finalizing the letter and our IO will be sending it to OLAW before the end of the week. Thank you!

Happy Holidays to you and yours,
Kristen

Kristen C. Anderson-Vicino, MS, CPIA, LATG
Director, IACUC Office
Research Compliance and Integrity
Office of the Vice Chancellor for Research | UC San Diego | 9500 Gilman Drive, La Jolla, CA 92093-0071
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From: Gopee, Neera (NIH/OD) [E] <neera.gopee@nih.gov>
Sent: Wednesday, December 19, 2018 9:04 AM
To: Anderson-Vicino, Kristen <kca002@ucsd.edu>
Subject: OLAW Request for update on preliminary noncompliance report for A3033-1Y

Dear Dr. Anderson-Vicino,

I am writing with regards to the non-compliance incident which was reported by you via telephone on May 16, 2018 regarding the unapproved performance of intravitreal injections in neonatal mice at the University of California, San Diego. To date we do not have a record of receiving additional information regarding these two preliminary reports. OLAW requests submission of a final or interim report to the OLAW Division of Compliance Oversight (NIH/OD) olawdco@od.nih.gov at your earliest convenience.

If you have any questions or concerns regarding this matter, please do not hesitate to contact me.

Regards,

Neera

Neera V. Gopee, DVM, PhD, DAACLAM, DABT
Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

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Initial Report of Noncompliance

By: Nara Gopee

Date: 5-16-18

Time: 4:30 pm

Name of Person reporting: Kisten Anderson - Vicino

Telephone #: [Redacted] (b) (6)

Fax #:

Email:

Name of Institution: UC - San Diego

Assurance number: A3033-01

Did incident involve PHS funded activity? Not sure

Funding component: _____

Was funding component contacted (if necessary): _____

What happened?

Intravitreal injections were performed on neonatal mice without IACUC approval.

Species involved: Mice

Personnel involved:

Dates and times:

Animal deaths: None

Projected plan and schedule for correction/prevention (if known): _____

Projected submission to OLAW of final report from Institutional Official:

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Case # _____