



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

September 5, 2018

Re: Animal Welfare Assurance
A3543-01 [OLAW Case B]

Terri Pigott, Ph.D.
Associate Provost for Research
Loyola University of Chicago
6439 N. Sheridan Road
Chicago, Illinois 60626

Dear Dr. Pigott,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your August 28, 2018 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at Loyola University. Your letter supplements the initial prompt email report from you on August 3, 2018. According to the information provided, OLAW understands that on the evening of July 25, 2018 a box containing 60 live, Anolis lizards was removed from a research lab by the housekeeping staff and discarded. This was discovered on the morning of July 26th. A search for the box was unsuccessful. It was not stated whether or not the activity was funded by the PHS.

The corrective and preventive actions consisted of removing access for the housekeeping staff to certain research laboratories. Entrance by housekeeping staff into these areas would have to be escorted. Trash from these areas would be placed outside of the labs by personnel working in the labs for collection by the housekeeping staff.

OLAW believes that the corrective and preventive measures put in place by Loyola University are appropriate for this incident. We appreciate being informed of this unfortunate matter and find no cause for further action by this office at this time.

Sincerely,

(b) (6)

Brent C. Morse, DVM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact

(b) (6)

(b) (6)

Sincerely,

(b) (6)

Terri Pigott, PhD
Associate Provost for Research

CC: ORS Project File 1992

(b) (6)

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Thursday, August 30, 2018 6:37 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Confidential Preliminary Report: Activities Involving Animals

Thank you for this report, (b) (6) We will send a response shortly.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: (b) (6)
Sent: Wednesday, August 29, 2018 10:08 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Pigott, Terri <Tpigott@luc.edu>; (b) (6)
Subject: Re: Confidential Preliminary Report: Activities Involving Animals

Dear Dr. Morse,

Attached is the final report related to this case. Please confirm receipt and let us know if additional information is needed.

Thank you, (b) (6)

From: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Sent: Friday, August 3, 2018 11:55 AM
To: (b) (6) OLAW Division of Compliance Oversight (NIH/OD)
Cc: Pigott, Terri; (b) (6)
Subject: RE: Confidential Preliminary Report: Activities Involving Animals

Thank you for providing this preliminary report. We will open a case file and await further information as noted in your letter.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

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From: (b) (6)
Sent: Friday, August 03, 2018 11:32 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>

Cc: Pigott, Terri <Tpigott@luc.edu>; [REDACTED]

(b) (6)

Subject: Confidential Preliminary Report: Activities Involving Animals

Dear Division of Compliance Oversight, OLAW,

Attached is a report from Loyola University Chicago as required by the PHS Policy on Human Care and Use of Laboratory Animals.

Please assess the information in this report and advise us if further action is required prior to the submission of the final report.

Sincerely,

[REDACTED]
(b) (6)

Prelim.
A3543-B



Terri Pigott, Associate Provost for Research
Office of Research Services • Lake Shore Campus
6439 N. Sheridan Road • Chicago, IL 60626
P • 773.508.2478 F • 773.508.8942

August 2, 2018

Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
Rockledge 1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982

Sent via email: olawdco@mail.nih.gov

Dear Sir or Madam,

This letter is to provide a preliminary report of an animal-related situation that has occurred at Loyola University of Chicago, Assurance Number D16-00330 (A3543-01). The Office of Research Services and the University's Institutional Animal Care and Use Committee (IACUC) has received a report indicating that on the evening of July 26th, 2018 approximately sixty live lizards went missing from a research laboratory.

Principal Investigator: Thomas Sanger, PhD

Project Title: The developmental bases of anatomical diversification in iguanid lizards

Funding Source: Loyola University Chicago (Internal Funds)

Plan and schedule for correction and prevention: The University's Institutional Official has directed the IACUC to initiate an investigation into the missing animals, determine the cause of their disappearance, and provide a recommendation of procedures to be implemented to prevent a reoccurrence (if any).

Please consider this notice the preliminary report and expect a final report to be submitted to NIH/OLAW no later than September 2, 2018. Should you require additional information at this time, please advise (b) (6) His contact information is:

(b) (6)

Sincerely,

(b) (6)

Terri Pigott, PhD
Associate Provost for Research

CC: ORS Project File 1992

(b) (6)

Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Friday, August 03, 2018 12:56 PM
To: (b) (6) OLAW Division of Compliance Oversight (NIH/OD)
Cc: Pigott, Terri; (b) (6)
Subject: RE: Confidential Preliminary Report: Activities Involving Animals

Thank you for providing this preliminary report. We will open a case file and await further information as noted in your letter.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

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From: (b) (6)
Sent: Friday, August 03, 2018 11:32 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Pigott, Terri <Tpigott@luc.edu>; (b) (6)
Subject: Confidential Preliminary Report: Activities Involving Animals

Dear Division of Compliance Oversight, OLAW,

Attached is a report from Loyola University Chicago as required by the PHS Policy on Human Care and Use of Laboratory Animals.

Please assess the information in this report and advise us if further action is required prior to the submission of the final report.

Sincerely,

(b) (6)