

**Memorandum to:** Dr. Susan Martinis  
**From:** Institutional Animal Care and Use Committee  
**Subject:** Semiannual Report of the Program Review and Facility Inspection  
**Date:** November 5, 2019

This report summarizes the IACUC's results of its most recent program review and facility inspection, as required by the Public Health Service (PHS) Policy on Humane Care and Use of Laboratory Animals ([Policy](#)), Section [IV.B.1.-3.](#), the *Guide for the Care and Use of Laboratory Animals* ([Guide](#)), and the Animal Welfare Act ([AWA](#)) regulations, as applicable. Submission of semiannual reports to the Institutional Official is a condition of this institution's Animal Welfare Assurance with the NIH Office of Laboratory Animal Welfare (OLAW).

**Since the last review, the following changes have occurred in the institution's program for animal care and use (PHS Policy [IV.A.1.a.-i.](#)): [optional]**

none

**I. Description of the Nature and Extent of the Institution's Adherence to the PHS Policy, the *Guide*, and the AWA**

Departures from the PHS Policy, the *Guide*, and the AWA.

Select A or B:

- ☐ A. There were no departures during this reporting period.  
☒ B. The following departures have been reviewed and approved by the IACUC: *[include reason for each departure]*

The following departures were all approved with scientific justification:  
Agricultural housing for biomedical study; extended cage or cage furnishing change/cleaning interval; food/water restriction/deprivation; individual housing; non-standard lighting/temperature/noise conditions; trio breeding; reduced/exempted acclimation period; multiple major survival surgeries; delayed weaning of mice pups; reduced frequency for bedding/cage changes; reduced floor/feeder space allowance or increased stocking density; housing in farrowing crates; gestation crates smaller than Ag guide recommendations; death as an endpoint in fish (per EPA guidelines); prolonged restraint; toe-clipping (nail) for chicks; use of Freund's Complete adjuvant; wire-bottom caging.

**II. Deficiencies in the Institution's Animal Care and Use Program**

Animal Care and Use Program Review Date(s): 10/30/2019

Select A or B:

- ☒ A. There were no deficiencies in the program during this reporting period.  
☐ B. The following deficiencies have been identified: *[describe each deficiency, identify each deficiency as either minor or significant, and provide a reasonable and specific plan and schedule for the correction of each deficiency, deficiencies may be recorded on a separate table and attached, the last page of OLAW's Sample Semiannual Program Review and Facility Inspection Checklist provides a sample table]*

### III. Deficiencies in the Institution's Animal Facility

Animal Facility Inspection Date(s): 08/07/2019 – 09/30/2019

Select A or B:

- ☐ A. There were no deficiencies in the animal facility during this reporting period.
- ☒ B. The following deficiencies have been identified: *[describe each deficiency, identify each deficiency as either minor or significant, and provide a reasonable and specific plan and schedule for the correction of each deficiency, deficiencies may be recorded on a separate table and attached, the last page of OLAW's Sample Semiannual Program Review and Facility Inspection Checklist provides a sample table]*

(see attached inspection report for all deficiencies)

#### IV. Minority Views

Select A or B:

- ☒ A. No minority views were submitted or expressed.
- ☐ B. The following minority views were expressed: *[insert minority views here or attach]*

**V. Status of AAALAC Accreditation** [*identify accredited facilities, if applicable*]

Fully accredited.

**VI. Signatures** [signatures of a majority of the IACUC members required by AWAR (§2.31,c,3), if applicable]

### Names of IACUC Members

## Signatures

[illegible]

## College of ACES

Inspection Date: 8/7/2019

**Inspection Summary:** Instructional laboratory rooms ( ) were visited where chicks for teaching labs may be housed and procedures may be performed on chickens. No deficiencies were noted.

Inspection Date: 9/24/2019

**Inspection Summary:** Cattle and sheep appeared to be in good health and condition. Feedlot buildings are in good repair. Working areas are in good repair and clean. ( ) pasture and pens are in good shape. Records were complete. All supplies were current. Manager is clearly skilled and knowledgeable. No deficiencies were noted.

Inspection Date: 8/9/2019

**Inspection Summary:** Rooms ( ) visited where food is stored and euthanasia will be performed ( ). No deficiencies were noted.

Inspection Date: 9/25/2019

**Inspection Summary:** A calf study was underway at the time of inspection. Graduate students managing the study and study personnel were knowledgeable about biosecurity measures for personnel and procedures for maintaining animal health. Main building and hutches were in good repair. No deficiencies were noted.

Inspection Date: 9/25/2019

**Inspection Summary:** Animals of all ages appear to be in good condition. Herd size is down to approximately 90 milking cows. Main milking herd is housed in ( ). Some repairs and improvements have been made, but for long term viability, new buildings and lots should be planned for. Photoperiod Barn, which is expected to become the housing location for most research studies, has been modified to remove wall coverings between pens (improving airflow), add additional fans, and add a working chute. ( ) and ( ) barns were empty. ( ) barn treatment area was very clean. No deficiencies were noted.

Inspection Date: 9/30/2019

**Inspection Summary:** New manager is in the process of making a detailed study of the facility, including acreage grazed, fences, time budgets, equipment usage, etc. This should be a big help in making decisions regarding focusing of resources. They are making good progress in pasture maintenance via mowing, but extremely dry conditions through the summer have limited grass growth. The staff is continuing to push for permission to use herbicides to help maintain the pastures in edible grasses. Current stocking rate is ~1 cow/calf per acre on ~2500 acres. Most cattle appeared healthy. However, there were several badly injured calves being treated (which have since recovered) that were reported to be suffering from flystrike, likely secondary to some other insult, such as predation injury. We suggest that the management team contact the appropriate regulatory agency to help identify the predator populations and come up with mitigation (particularly during calving season) that would be permitted within the constraints

of the National Forest. Though the new manager is doing well, the inspection team felt that he would benefit from some additional training to raise his confidence level in performing some of the basic veterinary care procedures allowed under the farm [REDACTED]. In particular, understanding when an IV or SC fluid treatment of newborn calves and how to perform it would be beneficial, and recognizing when an animal should be euthanized and not attempt further treatment, and how to perform it. No deficiencies were noted.

**Investigator Maintained Areas**

**Inspection Date: 8/7/2019**

**Inspection Summary:** Room [REDACTED] were visited where terminal procedures are performed [REDACTED]. No deficiencies were noted.

**Inspection Summary:** Several minor deficiencies were noted.

**Deficiencies:**

**Minor -** The door sign on room [REDACTED] indicating that tamoxifen was being used in the room was incomplete. Details that should be filled in by the lab such as date of treatment and contact number were missing.

Correction: Signage that communicate chemical hazards to staff and personnel must be complete.

Correction Date: 8/19/2019

Comments: PI indicates that Chem Hazard sign has been updated to include all required information.

**Minor -** In room [REDACTED] there was special diet in multiple secondary containers that were not properly labeled.

Correction: Food stored in secondary containers needs to be clearly labeled with contents, mill date and expiration date.

Correction Date: 8/19/2019

Comments: PI indicates that secondary containers have been properly labeled.

**Minor -** In room [REDACTED] there were multiple containers of expired custom diet.

Correction: Expired food should be discarded.

Correction Date: 8/19/2019

Comments: PI indicates that expired diet has been discarded.

**Minor -** In room [REDACTED] there was expired rodent diet.

Correction: Expired food should be discarded and a method should be implemented to ensure discarding of materials at or before the date of expiration.

Correction Date: 8/19/2019

Comments: Expired diet discarded 8/19/19. Lab members instructed to check freezers and coolers on a regular basis.

**Minor** - In rooms [REDACTED] there were multiple unlabeled containers of piglet formula.

Correction: Food stored in secondary containers needs to be clearly labeled with contents, mix date and expiration date.

Correction Date: 8/9/2019

Comments: Reply from [REDACTED] "The formula containers found in [REDACTED] have been used within 24 hours of preparation and are no longer in the rooms mentioned earlier. In addition, our pig study was terminated yesterday. We will add the information requested to the milk replacer stored in secondary containers in our future studies."

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**Minor** - In room [REDACTED] there were open cans of food with no indication of opening date or time.

Correction: Canned food that has been opened should be labeled so it is used in a timely manner.

Correction Date: 10/14/2019

Comments: Email from PI: "Research staff was retrained on proper procedures and cans were labeled with date and time that each can was opened."

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[REDACTED]	<b>Inspection Date: 9/11/2019</b>
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**Inspection Summary:** Facility was clean and in good condition. No deficiencies were noted.

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[REDACTED]	<b>Inspection Date: 9/25/2019</b>
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**Inspection Summary:** Not inspected Fall 2019, no use expected until late Spring. Manager reports that structural repairs and repainting floors have been completed.

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[REDACTED]	<b>Inspection Date: 9/11/2019</b>
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**Inspection Summary:** Horses appeared in good condition. Fences through most of the farm are in good shape, except for the south end of the farm. Medical and daily care records were complete. The [REDACTED] stalls appear to be in good repair, but there is too much reliance on extension cords.

**Deficiencies:**

**Minor** - [REDACTED] - there are several extension cords strung together to power fans in the stalls, and manager reports that similar set-up is used to heat water in winter.

Correction: Extension cords should not be used as a permanent or long term power source, and multiple cords should not be connected together, as this situation constitutes both fire and safety hazards for animals and personnel. Contact F&S to determine and schedule corrective action.

Correct By Date: 11/30/2019

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**Minor** - [REDACTED] - Gate in pen [REDACTED] has a broken support with sharp end protruding.

Correction: Repair or replace gate.

Correct By Date: 12/15/2019

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**Minor - Office -** Several expired and improperly labelled drugs were found in one cabinet.

Correction: Drug storage areas must be checked regularly and expired materials discarded.

Corrected Date: 9/11/2019

Comments: Drugs were discarded at time of inspection.

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	<b>Inspection Date: 10/2/2019</b>
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**Inspection Summary:** Management and husbandry are excellent. Animals appeared clean and healthy. Records are maintained appropriately. Drugs were all current. No deficiencies noted.

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	<b>Inspection Date: 9/11/2019</b>
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**Inspection Summary:** Facility was clean and in good condition. No deficiencies were noted.

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	<b>Inspection Date: 9/17/2019</b>
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**Inspection Summary:** All animals were on pasture at the time of inspection. Pastures appeared to be in good condition. Other facilities were in good condition or being repaired. The working chute continues to be usable but requires more and more attention and resources to keep it that way. No deficiencies noted.

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	<b>Inspection Date: 10/2/2019</b>
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**Inspection Summary:** The [REDACTED] and [REDACTED] had not been used recently so no animals or surgical records were located in this portion of the facility on the day of inspection. No deficiencies were noted.

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	<b>Inspection Date: 9/24/2019</b>
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**Inspection Summary:** Facility was in excellent condition, clean and well-organized. Animals appeared to be thriving in the artificial rearing system. Manager was well-versed in all procedures, and records were thorough. No deficiencies were noted.

**Inspection Summary:** The [REDACTED] vehicles (Dodge Grand Caravan License [REDACTED] and Ford 350 Box Truck License [REDACTED]) were inspected. Both heat and air conditioning work properly and the vehicles were clean.

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	<b>Inspection Date: 9/10/2019</b>
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**Inspection Summary:** Animal health appeared to be good. Fans have been added to the layer house to improve air circulation in summer. Birds were being monitored closely. No deficiencies were noted.

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	<b>Inspection Date: 8/29/2019</b>
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**Inspection Summary:** This facility was in shower in/out mode at the time of inspection. Animals appeared in good health. Overall management was excellent. Facilities are generally in good repair. New Calorimetry Unit appears to be working well. No deficiencies were noted.

– PI Maintained Spaces

Inspection Dates: 8/9,23/2019

**Inspection Summary:** Room [REDACTED] was visited where imaging procedures are performed. One minor deficiency was noted.

**Deficiency:**

**Minor -** The floor in room [REDACTED] needs to be resealed.

**Correction:** Contact F & S to schedule resealing of floor.

**Correct By Date:** 12/15/2019

**Inspection Summary:** Room [REDACTED] was visited where imaging will be performed. No deficiencies were noted.

**Inspection Summary:** Individual laboratory room [REDACTED] was visited where procedures are performed. No deficiencies were noted.

**Inspection Summary:** Laboratory room [REDACTED] was visited [REDACTED] where procedures are performed. No deficiencies were noted.

**Inspection Summary:** Individual laboratory room [REDACTED] was visited where imaging and other procedures are performed by multiple PIs. No deficiencies were noted.

**Inspection Summary:** The [REDACTED] was inspected. No deficiencies were noted.

**Inspection Summary:** Rooms [REDACTED] were visited where fish are housed. No deficiencies were noted.

**Inspection Summary:** Individual laboratory room [REDACTED] was visited where animals are imaged. No deficiencies were noted.

**Inspection Summary:** Individual laboratory rooms [REDACTED] were visited where procedures are performed. No deficiencies were noted.

**Inspection Summary:** Individual laboratory rooms [REDACTED] was visited where procedures are performed. No deficiencies were noted.

**Inspection Summary:** Individual laboratory room [REDACTED] was visited where procedures are performed. No deficiencies were noted.

**Inspection Summary:** Rooms [REDACTED] were inspected where procedures are performed. No deficiencies were noted.

**Inspection Summary:** Room [REDACTED] was inspected where procedures are performed. No deficiencies were noted.

**Inspection Summary:** Room [REDACTED] was inspected where procedures are performed. No deficiencies were noted.

**Inspection Summary:** Rooms [REDACTED] were visited where procedures are performed. No deficiencies were noted.

**Inspection Summary:** Room [REDACTED] was visited where imaging and surgical procedures are performed. No deficiencies were noted.



**Inspection Summary:** Individual laboratory room [REDACTED] ) was visited where procedures are performed. No deficiencies were noted.

**Inspection Summary:** Room [REDACTED] ) was inspected where procedures are performed. No deficiencies were noted.

**Inspection Summary:** Individual laboratory room [REDACTED] ) was visited where animals are housed and procedures are performed. No deficiencies were noted.

**Inspection Summary:** Individual laboratory rooms [REDACTED] ) were visited where procedures are performed. No deficiencies were noted.

## College of Veterinary Medicine

Inspection Date: 9/18/2019

**Inspection Summary:** Facility was clean and in good condition. Fences around outside paddocks and pasture are in good repair, though there was significant weed cover in the north pasture. No deficiencies were noted. However, please remind personnel working in the client equine surgery group to check for and discard expired drugs on a routine basis. Note that we also found recently expired hand sanitizer in a dispenser in the food animal surgery suite.

– PI Maintained Areas

Inspection Dates: 8/14/2019

**Inspection Summary:** Rooms [REDACTED] were visited. No deficiencies were noted.

**Inspection Summary:** Rooms [REDACTED] were visited where animals may be housed. No deficiencies were noted.

**Inspection Summary:** Rooms [REDACTED] were visited. No deficiencies were noted.

**Inspection Summary:** Rooms [REDACTED] were visited where animals are housed. No deficiencies were noted.

**Inspection Summary:** Room [REDACTED] was visited where birds are housed. One minor deficiency was noted.

**Deficiency:**

**Minor -** In room [REDACTED] there was a large ziploc bag of bird food that was unlabeled.

**Correction:** Food stored in secondary containers needs to be clearly labeled with contents, mill date and expiration date.

**Correction Date:** 8/26/2019

**Comments:** PI indicates that food has been removed from room.

Inspection Dates: 8/12,14/2019

**Inspection Summary:** Room [REDACTED] was visited where animals are housed & procedures are performed. No deficiencies were noted.

**Inspection Summary:** Rooms [REDACTED] were visited where animals are housed. No deficiencies were noted.

**Inspection Summary:** Rooms [REDACTED] was visited where animals procedures will be performed. No deficiencies were noted.

**Inspection Summary:** Room [REDACTED] was visited where procedures will be performed. No deficiencies were noted.

**Inspection Summary:** Rooms [REDACTED] was visited where cadavers (USDA covered species) will be used for teaching activities. No deficiencies were noted.

**Inspection Summary:** Rooms [REDACTED] were visited. No deficiencies were noted.

**Inspection Summary:** Room [REDACTED] was visited where procedures and euthanasia are performed. No deficiencies were noted.

**Inspection Summary:** Room [REDACTED] was visited where procedures and euthanasia are performed. No deficiencies were noted.

**Inspection Summary:** Room [REDACTED] was visited where procedures and euthanasia are performed. No deficiencies were noted.

**Inspection Summary:** Room [REDACTED] was visited where procedures (frogs) and euthanasia (frogs, mice) are performed. No deficiencies were noted.

**Inspection Summary:** Rooms [REDACTED] were visited where euthanasia is performed. No deficiencies were noted.

**Inspection Summary:** Room [REDACTED] was visited where procedures and euthanasia are performed. No deficiencies were noted.

**Inspection Summary:** The snake tank outside room [REDACTED] was visited. Animal care records were complete. No deficiencies were noted.

**Inspection Summary:** Room [REDACTED] was visited where euthanasia is performed. No deficiencies were noted.

**Inspection Summary:** One minor deficiency was noted.

**Deficiency:**

**Minor -** In room [REDACTED] there was no documentation that water quality checks were being performed as described in the protocol.

**Correction:** Chlorine and Nitrate/Nitrite levels need to be documented every week as indicated in the approved protocol (18220).

**Correction Date:** 8/14/2019

**Comments:** PI indicates that records are in her office; will move them down to animal care room.

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	<b>Inspection Date: 9/18/2019</b>
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**Inspection Summary:** A small number of grower pigs and sows were on site at time of inspection and appeared in good condition. Horses, sheep and llama on pasture appeared in good condition and well-managed. Record keeping was good. Room [REDACTED] had ceiling damage and the manager reported the room would not be used until repairs were completed. No deficiencies were noted.

## Division of Animal Resources

### ██████████ – DAR Maintained Areas

Inspection Date: 8/21/2019

**Inspection Summary:** The facility was well maintained and animal husbandry was good. No deficiencies were noted.

### ██████████ – DAR Maintained Areas

Inspection Date: 8/19/2019

**Inspection Summary:** The animal care facility was in good shape and the overall animal care was good. Two minor deficiencies were noted.

#### Deficiencies:

**Minor -** A wall in ██████████ needs to be painted.

Correction: Contact F & S to schedule painting wall.

Correct By Date: 12/15/2019

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**Minor -** In room ██████████ a ceiling access to the reheat coil panel needs to be repainted.

Correction: Contact F & S to schedule painting wall.

Correct By Date: 12/15/2019

### DAR Vehicles

Inspection Date: 8/21/2019

**Inspection Summary:** The ██████████ vehicle was inspected. Dodge Caravan, License ██████████  
-- Both heat and air conditioning work properly and the vehicle was clean.

### ██████████ DAR Maintained Areas

Inspection Date: 8/7/2019

**Inspection Summary:** In general, the facilities were in good condition and the animals appeared well-cared for. A few minor deficiencies were noted.

#### Deficiencies:

**Minor -** Outlet covers were missing in room ██████████

Correction: Contact F & S to repair outlet covers.

Correct By Date: 12/15/2019

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**Minor -** The light switch by the north hallway entrance in the basement is broken.

Correction: Contact F & S to repair light switch.

Correct By Date: 12/15/2019

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**Minor -** The paper towel holder in room ██████████ is rusty.

Correction: Replace paper towel holder.

Correct By Date: 12/15/2019

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█ – DAR Maintained Areas

Inspection Date: 8/8/2019

**Inspection Summary:** The facilities were in good condition and the animals appeared well cared for. No deficiencies were noted.

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█ – DAR Maintained Areas

Inspection Date: 8/28/2019

**Inspection Summary:** This facility was clean and well maintained. Animal husbandry in this building was good. No deficiencies were noted.

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█ – DAR Maintained Areas

Inspection Date: 8/28/2019

**Inspection Summary:** This facility was clean and well maintained. Animal husbandry in this building was good. One minor deficiency was noted.

**Deficiency:**

**Minor -** The insulation around ceiling pipes needs to be repaired.

Correction: Contact F&S to repair pipe insulation.

Correct By Date: 12/15/2019

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█ – DAR Maintained Areas

Inspection Date: 8/16/2019

**Inspection Summary:** Overall, the facility was in good shape. Animal husbandry was good. A minor deficiency was noted.

**Deficiency:**

**Minor -** In room █ (clean side cage wash) and █ (dirty side cage wash) the no-skid mat on the ramps leading up to the cage wash has become loose or worn.

Correction: Replace no-skid mat on cage wash ramp on both clean & dirty side.

Correction Date: 10/10/2019

Comments: New non-slip tape was applied to both clean and dirty side of cage wash ramps by October 10th.

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█ – DAR Maintained Areas

Inspection Date: 8/12/2019

**Inspection Summary:** Overall, the facility looked good. Animal husbandry was good. Two minor deficiencies were noted.

**Deficiencies:**

**Minor -** Animal census records for cats housed in room █ were not consistent.

Correction: Census and identification records need to be kept up to date for animal housing rooms.

Correction Date: 8/12/2019

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**Minor** - In room [REDACTED] (frogs) & room [REDACTED] (fish) zoonosis signs needed.

Correction: Post appropriate zoonosis signage in animal care and housing locations.

Corrected By Date: 11/1/2019

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## College of Engineering

Inspection Date: 8/28/2019

**Inspection Summary:** Room [REDACTED] was inspected where animals are housed [REDACTED]  
One deficiency was noted.

**Deficiency:**

**Minor - Room [REDACTED]:** Food used to feed zebrafish was expired (Exp 1/3/19).

Correction: Expired food should be discarded and a method should be implemented to ensure discarding of materials at or before the date of expiration.

Correction Date: 9/3/2019

Comments: Graduate student disposed of expired fish food and is documenting expiration dates of food on the outside of the refrigerator it is stored in.

Inspection Date: 8/26/2019

**Inspection Summary:** Room [REDACTED] was inspected where imaging is performed [REDACTED]  
No deficiencies were noted.

## Illinois Natural History Survey

Inspection Date: 8/26, 9/5/2019

**Inspection Summary:** The [REDACTED] site was visited where a dog is housed and fish research is conducted [REDACTED]. No deficiencies were noted.

**Inspection Summary:** New aquarium setup for 19140 experiments were looked at ([REDACTED]). No deficiencies.

Inspection Date: 8/26/2019

**Inspection Summary:** Rooms [REDACTED] were inspected where procedures will be performed [REDACTED]. Rooms [REDACTED] were inspected where animals are housed and procedures will be performed [REDACTED]. No deficiencies were noted.

Inspection Date: 8/26/2019

**Inspection Summary:** Room [REDACTED] was inspected where animals are housed and procedures are performed ([REDACTED]). No deficiencies were noted.

## College of LAS

Inspection Dates: 8/19,28/2019

**Inspection Summary:** Room [REDACTED] was visited where procedures are performed. No deficiencies were noted.

**Inspection Summary:** Rooms [REDACTED] were visited where procedures are performed. No deficiencies were noted.

**Inspection Summary:** Room [REDACTED] was visited where procedures are performed. No deficiencies were noted.

**Inspection Summary:** Room [REDACTED] was visited where procedures are performed. No deficiencies were noted.

**Inspection Summary:** Rooms [REDACTED] were visited where procedures are performed. No deficiencies were noted.

**Inspection Summary:** Room [REDACTED] was visited where procedures will be performed. No deficiencies were noted.

**Inspection Summary:** Rooms [REDACTED] were visited where procedures will be performed for class projects. No deficiencies were noted.

**Inspection Summary:** Room [REDACTED] was visited where procedures are performed. No deficiencies were noted.

**Inspection Summary:** One minor deficiency was noted.

**Deficiency:**

**Minor -** In room [REDACTED] there was expired suture, gloves, canulae and other materials.

Correction: Expired materials need to be discarded and a method should be implemented to ensure discarding of materials at or before the date of expiration.

Correction Date: 8/20/2019

Comments: email from PI indicates materials were thrown away and a plan will be implemented once research starts up again.

Inspection Date: 8/26,28/2019

**Inspection Summary:** Rooms [REDACTED] were visited where animals are housed and procedures are performed. No deficiencies were noted.

**Inspection Summary:** Room [REDACTED] was visited where procedures are performed. No deficiencies were noted.

**Inspection Summary:** Room [REDACTED] was inspected where procedures are performed [REDACTED]. No deficiencies were noted.

Inspection Date: 8/28/2019

**Inspection Summary:** Room [REDACTED] was visited where procedures are performed. Room [REDACTED] was visited where food is stored. No deficiencies were noted.

**Inspection Summary:** Rooms [REDACTED] were visited where procedures are performed. No deficiencies were noted.

**Inspection Summary:** Room [REDACTED] was visited where procedures are performed. A minor deficiency was noted.

**Deficiency:**

**Minor -** In room [REDACTED], a ceiling tile over the animal use area is missing and needs to be replaced.

**Correction:** Contact F&S to schedule replacement of tile.

**Correct By Date:** 12/15/2019

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**Inspection Date: 8/28/2019**

**Inspection Summary:** Rooms [REDACTED] were visited where multiple procedures are performed. Room [REDACTED] was visited where procedures are performed and animals are housed. No deficiencies were noted.

**Inspection Summary:** Rooms [REDACTED] were visited where procedures will be performed. No deficiencies were noted.

**Inspection Summary:** A minor deficiency was noted.

**Deficiency:**

**Minor -** In room [REDACTED] the cage cards do not contain all the required information.

**Correction:** Cage cards need to contain updated and complete information as per The Guide for the Care and Use of Laboratory Animals.

**Correction Date:** 9/3/2019

**Comments:** Postdoc ECK in [REDACTED] Lab provided missing information on cage cards.

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**Inspection Date: 8/19/2019**

**Inspection Summary:** Room [REDACTED] was visited where fish will be housed short term for a Biology class [REDACTED]. No deficiencies were noted.

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**Inspection Date: 8/16/2019**

**Inspection Summary:** Individual laboratory room [REDACTED] was visited where procedures are performed. No deficiencies were noted.

**Inspection Summary:** Individual laboratory rooms [REDACTED] were visited where procedures will be performed. No deficiencies were noted.

**Inspection Summary:** Individual laboratory rooms [REDACTED] were visited where procedures are performed. No deficiencies were noted.

**Inspection Summary:** Individual laboratory rooms [REDACTED] were visited where procedures are performed. No deficiencies were noted.

**Inspection Summary:** Individual laboratory room [REDACTED] was visited where procedures will be performed. A minor deficiency was noted.

**Deficiency:**

**Minor -** The surgical light in room [REDACTED] is quite rusty. The room is not currently being used for surgical procedures but will be used in this capacity again soon.

Correction: Mitigate rust on light before the room is used again for surgical procedures.

Correction Date: 9/30/2019

Comments: E-mail from PI stating that they will mitigate the rust on the surgery light by covering it before any surgeries.

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[REDACTED]	<b>Inspection Date: 8/26/2019</b>
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**Inspection Summary:** Room [REDACTED] was visited where procedures are performed. No deficiencies were noted.

[REDACTED]	<b>Inspection Date: 8/26/2019</b>
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**Inspection Summary:** Rooms [REDACTED] were visited where fish are housed [REDACTED]. No deficiencies were noted.

# I. Semiannual Program Review Checklist <sup>i</sup>

## Institutional Policies and Responsibilities

Date:

1. Animal Care and Use Program <sup>NEW</sup>		A*	M	S	C	NA
• Responsibility for animal well-being is assumed by all members of the program ( <i>Guide, p 1</i> ) [must]						
• IO has authority to allocate needed resources ( <i>Guide, p 13</i> )						
• Resources necessary to manage program of veterinary care are provided ( <i>Guide, p 14</i> ) [must]						
• Sufficient resources are available to manage the program, including training of personnel in accord with regulations and the <i>Guide</i> ( <i>Guide, pp 11, 15</i> )						
• Program needs are regularly communicated to IO by AV and/or IACUC ( <i>Guide, p 13</i> )						
• Responsibilities for daily animal care and facility management are assigned to specific individual(s) when a full-time veterinarian is not available on site ( <i>Guide, p 14</i> ) [must]						
• Inter-institutional collaborations are described in formal written agreements ( <i>Guide, p 15</i> )						
• Written agreements address responsibilities, animal ownership, and IACUC oversight ( <i>Guide, p 15</i> )						
2. Disaster Planning and Emergency Preparedness <sup>NEW</sup>		A*	M	S	C	NA
• Disaster plans for each facility to include satellite locations are in place ( <i>Guide, p 35, p 75</i> ) [must]						
• Plans include provisions for euthanasia ( <i>Guide, p 35</i> ) [must]						
• Plans include triage plans to meet institutional and investigators' needs ( <i>Guide, p 35</i> )						
• Plans define actions to prevent animal injury or death due to HVAC or other failures ( <i>Guide, p 35</i> )						
• Plans describe preservation of critical or irreplaceable animals ( <i>Guide, p 35</i> )						
• Plans include essential personnel and their training ( <i>Guide, p 35</i> )						
• Animal facility plans are approved by the institution and incorporated into overall response plan ( <i>Guide, p 35</i> )						
• Law enforcement and emergency personnel are provided a copy and integration with overall plan is in place ( <i>Guide, p 35</i> )						
3. IACUC <sup>NEW</sup>		A*	M	S	C	NA
• Meets as necessary to fulfill responsibilities ( <i>Guide, p 25</i> ) [must]						
• IACUC Members named in protocols or with conflicts recuse themselves from protocol decisions ( <i>Guide, p 26</i> ) [must]						
• Continuing IACUC oversight after initial protocol approval is in place ( <i>Guide, p 33</i> )						
• IACUC evaluates the effectiveness of training programs ( <i>Guide, p 15</i> )						
4. IACUC Protocol Review - Special Considerations		A*	M	S	C	NA
• Humane endpoints are established for studies that involve tumor models, infectious diseases, vaccine challenge, pain modeling, trauma, production of monoclonal antibodies, assessment of toxicologic effects, organ or system failure, and models of cardiovascular shock ( <i>Guide, p 27</i> )						
• For pilot studies, a system to communicate with the IACUC is in place ( <i>Guide, p 28</i> )						
• For genetically modified animals, enhanced monitoring and reporting is in place ( <i>Guide, p 28</i> )						
• Restraint devices are justified in the animal use protocols ( <i>Guide, p 29</i> ) [must]						
• Alternatives to physical restraint are considered ( <i>Guide, p 29</i> )						
• Period of restraint is the minimum to meet scientific objectives ( <i>Guide, p 29</i> )						
• Training of animals to adapt to restraint is provided ( <i>Guide, p 29</i> )						
• Animals that fail to adapt are removed from study ( <i>Guide, p 29</i> )						
• Appropriate observation intervals of restrained animals are provided ( <i>Guide, p 29</i> )						
• Veterinary care is provided if lesions or illness result from restraint ( <i>Guide, p 30</i> ) [must]						



• Explanations of purpose and duration of restraint are provided to study personnel ( <i>Guide, p 30</i> )					
• Multiple surgical procedures on a single animal are justified and outcomes evaluated ( <i>Guide, p 30</i> )					
• Major versus minor surgical procedures are evaluated on a case-by-case basis ( <i>Guide, p 30</i> )					
• Multiple survival procedure justifications in non-regulated species conform to regulated species standards ( <i>Guide, p 30</i> )					
• Animals on food/fluid restriction are monitored to ensure nutritional needs are met ( <i>Guide, p 31</i> )					
• Body weights for food/fluid restricted animals are recorded at least weekly ( <i>Guide, p 31</i> )					
• Daily written records are maintained for food/fluid restricted animals ( <i>Guide, p 31</i> )					
• Pharmaceutical grade chemicals are used , when available, for animal-related procedures ( <i>Guide, p 31</i> )					
• Non-pharmaceutical grade chemicals are described, justified, and approved by IACUC ( <i>Guide, p 31</i> )					
• Investigators conducting field studies know zoonotic diseases, safety issues, laws and regulations applicable in study area ( <i>Guide, p 32</i> )					
• Disposition plans are considered for species removed from the wild ( <i>Guide, p 32</i> )					
• Toe-clipping only used when no alternative, performed aseptically and with pain relief ( <i>Guide, p 75</i> )					

## 5. IACUC Membership and Functions

A\* M S C NA

• IACUC is comprised of at least 5 members, appointed by CEO (PHS Policy, <i>IV.A.3.</i> )					
• Members include a veterinarian, a scientist, a nonscientist, and a nonaffiliated non-lab animal user ( <i>Guide, p 24</i> ) <sup>ii</sup>					
• IACUC authority and resources for oversight and evaluation of institution's program are provided ( <i>Guide, p 14</i> )					
• IACUC conducts semiannual evaluations of institutional animal care and use program (PHS Policy, <i>IV.B.</i> )					
• Conducts semiannual inspections of institutional animal facilities (PHS Policy, <i>IV.B.</i> )					
• IACUC organizationally reports to the Institutional Official (PHS Policy, <i>IV.A.1.b.</i> )					
• Methods for reporting and investigating animal welfare concerns are in place ( <i>Guide, p 23</i> ) [must]					
• Reviews and investigates concerns about animal care and use at institution <sup>iii</sup> (PHS Policy, <i>IV.B.</i> )					
• Procedures are in place for review, approval, and suspension of animal activities <sup>iv</sup> (PHS Policy, <i>IV.B.</i> )					
• Procedures are in place for review and approval of significant changes to approved activities (PHS Policy, <i>IV.B.</i> )					
• Policies are in place for special procedures (e.g., genetically modified animals, restraint, multiple survival surgery, food and fluid regulation, field investigations, agricultural animals) ( <i>Guide, p 27-32</i> )					
• Requests for exemptions from major survival surgical procedure restrictions are made to USDA/APHIS <sup>v</sup> ( <i>Guide, p 30</i> ) [must]					

## 6. IACUC Training NEW

A\* M S C NA

• All IACUC members should receive:					
o Formal orientation to institution's program ( <i>Guide, p 17</i> )					
o Training on legislation, regulations, guidelines, and policies ( <i>Guide, p 17</i> )					
o Training on how to inspect facilities and labs where animal use or housing occurs ( <i>Guide, p 17</i> )					
o Training on how to review protocols as well as evaluate the program ( <i>Guide, p 17</i> )					
o Ongoing training/education ( <i>Guide, p 17</i> )					

## 7. IACUC Records and Reporting Requirements<sup>vi</sup>

A\* M S C NA

• Semiannual report to the IO (PHS Policy, <i>IV.B.</i> )					
o Submitted to IO every 6 months					
o Compiles program review and facility inspection(s) results (includes all program					

and facility deficiencies)					
o Includes minority IACUC views					
o Describes IACUC-approved departures from the <i>Guide</i> or PHS Policy and the reasons for each departure <sup>vii</sup>					
o Distinguishes significant from minor deficiencies					
o Includes a plan and schedule for correction for each deficiency identified <sup>viii</sup>					
• Reports to OLAW (PHS Policy, <a href="#">IV.F.</a> )					
o Annual report to OLAW documents program changes, dates of the semiannual program reviews and facility inspections and includes any minority views					
o Promptly advises OLAW of serious/ongoing <i>Guide</i> deviations or PHS Policy noncompliance ( <a href="#">NOT-OD-05-034</a> )					
o Institute must promptly advise OLAW of any suspension of an animal activity by the IACUC ( <a href="#">NOT-OD-05-034</a> )					
• Reports to U.S. Department of Agriculture (USDA) or Federal funding agency <sup>ix</sup>					
o Annual report to USDA contains required information including all exceptions/exemptions					
o Reporting mechanism to USDA is in place for IACUC-approved exceptions to the regulations and standards					
o Reports are filed within 15 days for failures to adhere to timetable for correction of significant deficiencies					
o Promptly reports suspensions of activities by the IACUC to USDA and any Federal funding agency					
• Records (PHS Policy, <a href="#">IV.E.</a> )					
o IACUC meeting minutes and semiannual reports to the IO are maintained for 3 years					
o Records of IACUC reviews of animal activities include all required information <sup>x</sup>					
o Records of IACUC reviews are maintained for 3 years after the completion of the study					

## 8. Veterinary Care (See also next section - Veterinary Care)

A\* M S C NA

• An arrangement for veterinarian(s) with training or experience in lab animal medicine is in place including backup veterinary care <sup>xi</sup>					
• Veterinary access to all animals is provided ( <i>Guide</i> , <a href="#">p 14</a> ) <b>[must]</b>					
• Direct or delegated authority is given to the veterinarian to oversee all aspects of animal care and use ( <i>Guide</i> , <a href="#">p 14</a> ) <b>[must]</b>					
• Veterinarian provides consultation when pain and distress exceeds anticipated level in protocol ( <i>Guide</i> , <a href="#">p 5</a> ) <b>[must]</b>					
• Veterinarian provides consultation when interventional control is not possible ( <i>Guide</i> , <a href="#">p 5</a> ) <b>[must]</b>					
• If part time /consulting veterinarian, visits meet programmatic needs ( <i>Guide</i> , <a href="#">p 14</a> )					
• Regular communication occurs between veterinarian and IACUC ( <i>Guide</i> , <a href="#">p 14</a> )					
• Veterinarian(s) have experience and training in species used ( <i>Guide</i> , <a href="#">p 15</a> ) <b>[must]</b>					
• Veterinarian(s) have experience in facility administration/management ( <i>Guide</i> , <a href="#">p 15</a> )					

## 9. Personnel Qualifications and Training

A\* M S C NA

• All personnel are adequately educated, trained, and/or qualified in basic principles of laboratory animal science. Personnel included: <b>[must]</b>					
o Veterinary/other professional staff ( <i>Guide</i> , <a href="#">p 15-16</a> )					
o IACUC members ( <i>Guide</i> , <a href="#">p 17</a> )					
o Animal care personnel ( <i>Guide</i> , <a href="#">p 16</a> )					
o Research investigators, instructors, technicians, trainees, and students ( <i>Guide</i> , <a href="#">pp 16-17</a> )					
• Continuing education for program and research staff provided to ensure high quality care and reinforce training ( <i>Guide</i> , <a href="#">pp 16-17</a> )					
• Training is available prior to starting animal activity ( <i>Guide</i> , <a href="#">p 17</a> )					
• Training is documented ( <i>Guide</i> , <a href="#">p 15</a> )					
• Training program content includes: ( <i>Guide</i> , <a href="#">p 17</a> )					
o Methods for reporting concerns ( <i>Guide</i> , <a href="#">p 17</a> )					
o Humane practices of animal care (e.g., housing, husbandry, handling) <sup>xii</sup>					
o Humane practices of animal use (e.g., research procedures, use of anesthesia,					

pre- and post-operative care, aseptic surgical techniques and euthanasia ( <i>Guide</i> , <a href="#">p 17</a> ) <sup>xiii</sup>					
o Research/testing methods that minimize numbers necessary to obtain valid results (PHS Policy, <a href="#">IV.A.1.g.</a> )					
o Research/testing methods that minimize animal pain or distress (PHS Policy, <a href="#">IV.A.1.g.</a> )					
o Use of hazardous agents, including access to OSHA chemical hazard notices where applicable ( <i>Guide</i> , <a href="#">p 20</a> )					
o Animal care and use legislation ( <i>Guide</i> , <a href="#">p 17</a> )					
o IACUC function ( <i>Guide</i> , <a href="#">p 17</a> )					
o Ethics of animal use and Three R's ( <i>Guide</i> , <a href="#">p 17</a> )					

## 10. Occupational Health and Safety of Personnel

A\* M S C NA

• Program is in place and is consistent with federal, state, and local regulations ( <i>Guide</i> , <a href="#">p 17</a> ) [must]					
• Program covers <i>all</i> personnel who work in laboratory animal facilities ( <i>Guide</i> , <a href="#">p 18</a> )					
• Changing, washing, and showering facilities are available as appropriate ( <i>Guide</i> , <a href="#">p 19</a> )					
• Hazardous facilities are separated from other areas and identified as limited access ( <i>Guide</i> , <a href="#">p 19</a> )					
• Personnel training is provided based on risk (e.g., zoonoses, hazards, personal hygiene, special precautions, animal allergies) ( <i>Guide</i> , <a href="#">p 20</a> )					
• Personal hygiene procedures are in place (e.g., work clothing, eating/drinking/smoking policies) ( <i>Guide</i> , <a href="#">p 20</a> )					
• Procedures for use, storage, and disposal of hazardous biologic, chemical, and physical agents are in place ( <i>Guide</i> , <a href="#">p 21</a> )					
• Personal Protective Equipment for the work area is appropriate and available ( <i>Guide</i> , <a href="#">p 21</a> )					
• Program for medical evaluation and preventive medicine for personnel includes:					
o Pre-employment evaluation including health history ( <i>Guide</i> , <a href="#">p 22</a> )					
o Immunizations as appropriate (e.g., rabies, tetanus) and tests as appropriate ( <i>Guide</i> , <a href="#">p 22</a> )					
o Zoonosis surveillance as appropriate (e.g., Q-fever, tularemia, Hantavirus, plague) ( <i>Guide</i> , <a href="#">p 23</a> )					
o Procedures for reporting and treating injuries, including accidents, bites, allergies, etc. ( <i>Guide</i> , <a href="#">p 23</a> )					
o Promotes early diagnosis of allergies including preexisting conditions ( <i>Guide</i> , <a href="#">p 22</a> )					
o Considers confidentiality and other legal factors as required by federal, state and local regulations ( <i>Guide</i> , <a href="#">p 22</a> ) [must]					
o If serum samples are collected, the purpose is consistent with federal and state laws ( <i>Guide</i> , <a href="#">p 22</a> ) [must]					
• Waste anesthetic gases are scavenged ( <i>Guide</i> , <a href="#">p 21</a> )					
• Hearing protection is provided in high noise areas ( <i>Guide</i> , <a href="#">p 22</a> )					
• Respiratory protection is available when performing airborne particulate work ( <i>Guide</i> , <a href="#">p 22</a> )					
• Special precautions for personnel who work with nonhuman primates, their tissues or body fluids include:					
o Tuberculosis screening provided for all exposed personnel ( <i>Guide</i> , <a href="#">p 23</a> )					
o Training and implementation of procedures for bites, scratches, or injuries associated with macaques ( <i>Guide</i> , <a href="#">p 23</a> )					
o PPE is provided including gloves, arm protection, face masks, face shields, or goggles ( <i>Guide</i> , <a href="#">p 21</a> )					
o Injuries associated with macaques are carefully evaluated and treatment implemented ( <i>Guide</i> , <a href="#">p 23</a> )					
• Occupational safety and health of field studies is reviewed by OSH committee or office ( <i>Guide</i> , <a href="#">p 32</a> )					

## 11. Personnel Security NEW

A\* M S C NA

• Preventive measures in place include pre-employment screening, and physical and IT security ( <i>Guide</i> , <a href="#">p 23</a> )					
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12. Investigating & Reporting Animal Welfare Concerns <sup>NEW</sup>						A*	M	S	C	NA
• Methods for investigating and reporting animal welfare concerns are established ( <i>Guide, p 23</i> ) [must]										
• Reported concerns and corrective actions are documented ( <i>Guide, p 24</i> )										
• Mechanisms for reporting concerns are posted in facility and at applicable website with instructions ( <i>Guide, p 24</i> )										
○ Includes multiple contacts ( <i>Guide, p 24</i> )										
○ Includes anonymity, whistle blower policy, nondiscrimination and reprisal protection ( <i>Guide, p 24</i> )										

\* **A** = acceptable

**M** = minor deficiency

**S** = significant deficiency (is or may be a threat to animal health or safety)

**C** = change in program (PHS Policy [IV.A.1.a.-i.](#)) (include in semiannual report to IO and in annual report to OLAW)

**NA** = not applicable

## NOTES:



## Veterinary Care

Date:

1. Clinical Care and Management <sup>NEW</sup>							A*	M	S	C	NA
• Veterinary program offers high quality of care and ethical standards ( <i>Guide, p 105</i> ) [must]											
• Veterinarian provides guidance to all personnel to ensure appropriate husbandry, handling, treatment, anesthesia, analgesia, and euthanasia ( <i>Guide, p 106</i> )											
• Veterinarian provides oversight to surgery and perioperative care ( <i>Guide, p 106</i> )											
• Veterinary care program is appropriate for program requirements ( <i>Guide, pp 113-114</i> )											
• Veterinarian(s) is familiar with species and use of animals and has access to medical and experimental treatment records ( <i>Guide, p 114</i> )											
• Procedures to triage and prioritize incident reports are in place ( <i>Guide, p 114</i> )											
• Procedures are in place to address:											
o Problems with experiments to determine course of treatment in consultation with investigator ( <i>Guide, p 114</i> )											
o Recurrent or significant health problems with the IACUC and documentation of treatments and outcomes ( <i>Guide, p 114</i> )											
o Veterinary review and oversight of medical and animal use records ( <i>Guide, p 115</i> )											
• Procedures established for timely reporting of animal injury, illness, or disease ( <i>Guide, p 114</i> ) [must]											
• Procedures established for veterinary assessment, treatment, or euthanasia ( <i>Guide, p 114</i> ) [must]											
• Veterinarian is authorized to treat, relieve pain, and/or euthanize ( <i>Guide, p 114</i> ) [must]											
2. Animal Procurement and Transportation/Preventive Medicine							A*	M	S	C	NA
• Procedures for lawful animal procurement are in place ( <i>Guide, p 106</i> ) [must]											
• Sufficient facilities and expertise are confirmed prior to procurement ( <i>Guide, p 106</i> )											
• Procurement is linked to IACUC review and approval ( <i>Guide, p 106</i> )											
• Random source dogs and cats are inspected for identification ( <i>Guide, p 106</i> )											
• Population status of wildlife species is considered prior to procurement ( <i>Guide, p 106</i> )											
• Appropriate records are maintained on animal acquisition ( <i>Guide, p 106</i> )											
• Animal vendors are evaluated to meet program needs and quality ( <i>Guide, p 106</i> )											
• Breeding colonies are based on need and managed to minimize numbers ( <i>Guide, p 107</i> )											
• Procedures for compliance with animal transportation regulations, including international requirements, are in place ( <i>Guide, p 107</i> ) [must]											
• Transportation is planned to ensure safety, security and minimize risk ( <i>Guide, p 107</i> )											
• Movement of animals is planned to minimize transit time and deliveries are planned to ensure receiving personnel are available ( <i>Guide, pp 107-108</i> )											
• Appropriate loading and unloading facilities are available ( <i>Guide, p 109</i> )											
• Environment at receiving site is appropriate ( <i>Guide, p 109</i> )											
• Policies in place on separation by species, source, and health status ( <i>Guide, pp 109, 111-112</i> )											
• Procedures in place for quarantine to include zoonoses prevention ( <i>Guide, p 110</i> )											
• Quarantined animals from different shipments are handled separately or physically separated ( <i>Guide, p 110</i> )											
• Procedures in place for stabilization/acclimation ( <i>Guide, pp 110-111</i> )											
• Policies in place for isolation of sick animals ( <i>Guide, p 112</i> )											
• Program is in place for surveillance, diagnosis, treatment and control of disease to include daily observation ( <i>Guide, p 112</i> )											
• Diagnostic resources are available for preventive health program ( <i>Guide, p 112</i> )											
3. Surgery							A*	M	S	C	NA
• Surgical outcomes are assessed and corrective changes instituted ( <i>Guide, p 115</i> )											
• Researchers have appropriate training to ensure good technique ( <i>Guide, p 115</i> ) [must]											

• Pre-surgical plans are developed and include veterinary input (e.g., location, supplies, anesthetic and analgesic use, peri-operative care, recordkeeping) ( <i>Guide</i> , <a href="#">p 116</a> )					
• Aseptic surgery is conducted in dedicated facilities or spaces, unless exception justified and IACUC approved ( <i>Guide</i> , <a href="#">p 116</a> )					
• Surgical procedures including laparoscopic procedures are categorized as major or minor ( <i>Guide</i> , <a href="#">pp 117-118</a> )					
• For nonsurvival surgery, the site is clipped, gloves are worn and instruments and area are clean ( <i>Guide</i> , <a href="#">p 118</a> )					
• Aseptic technique is followed for survival surgical procedures ( <i>Guide</i> , <a href="#">pp 118-119</a> )					
• Effective procedures for sterilizing instruments and monitoring expiration dates on sterile packs are in place ( <i>Guide</i> , <a href="#">p 119</a> )					
• Procedures for monitoring surgical anesthesia and analgesia are in place ( <i>Guide</i> , <a href="#">p 119</a> )					
• For aquatic species, skin surfaces are kept moist during surgical procedures ( <i>Guide</i> , <a href="#">p 119</a> )					
• Post-operative monitoring and care are provided by trained personnel and documented (e.g., thermoregulation, physiologic function, analgesia, infection, removal of skin closures) ( <i>Guide</i> , <a href="#">pp 119-120</a> )					

#### 4. Pain, Distress, Anesthesia and Analgesia A\* M S C NA

• Guidelines for assessment and categorization of pain, distress and animal wellbeing are provided during training ( <i>Guide</i> , <a href="#">p 121</a> )					
• Selection of analgesics and anesthetics is based on professional veterinary judgment ( <i>Guide</i> , <a href="#">p 121</a> )					
• Painful procedures are monitored to ensure appropriate analgesic management ( <i>Guide</i> , <a href="#">p 122</a> )					
• Nonpharmacologic control of pain is considered as an element of postprocedural care ( <i>Guide</i> , <a href="#">p 122</a> )					
• Procedures are in place to assure antinociception before surgery begins ( <i>Guide</i> , <a href="#">p 122</a> ) <b>[must]</b>					
• Guidelines for selection and use of analgesics and anesthetics are in place and regularly reviewed and updated ( <i>Guide</i> , <a href="#">p 122</a> )					
• Special precautions for the use of paralytics are in place to ensure anesthesia <sup>xiv</sup> ( <i>Guide</i> , <a href="#">p 123</a> )					

#### 5. Euthanasia A\* M S C NA

• Methods are consistent with AVMA Guidelines on Euthanasia unless approved by the IACUC ( <i>Guide</i> , <a href="#">p 123</a> )					
• Standardized methods are developed and approved by the veterinarian and IACUC that avoid distress and consider animal age and species ( <i>Guide</i> , <a href="#">pp 123-124</a> )					
• Training is provided on appropriate methods for each species and considers psychological stress to personnel ( <i>Guide</i> , <a href="#">p 124</a> )					
• Procedures and training are in place to ensure death is confirmed ( <i>Guide</i> , <a href="#">p 124</a> ) <b>[must]</b>					

#### 6. Drug Storage and Control A\* M S C NA

• Program complies with federal regulations for human and veterinary drugs ( <i>Guide</i> , <a href="#">p 115</a> ) <b>[must]</b>					
• Drug records and storage procedures are reviewed during facility inspections ( <i>Guide</i> , <a href="#">p 115</a> )					
• Procedures are in place to ensure analgesics and anesthetics are used within expiration date ( <i>Guide</i> , <a href="#">p 122</a> ) <b>[must]</b>					
• Anesthetics and analgesics are acquired, stored, and their use and disposal are recorded legally and safely ( <i>Guide</i> , <a href="#">p 122</a> )					

\* A = acceptable

M = minor deficiency

S = significant deficiency (is or may be a threat to animal health or safety)

C = change in program (PHS Policy [IV.A.1.a.-i.](#)) (include in semiannual report to IO and in annual report to OLAW)

NA = not applicable

#### NOTES:

Semiannual Checklist

v3/8/2012

8



## II. Semiannual Facility Inspection Checklist

### Terrestrial Animal Housing and Support Areas

Date:

Location:

	A*	M	S	C	NA
<ul style="list-style-type: none"> <li><b>Location:</b> <ul style="list-style-type: none"> <li>animal areas separate from personnel areas (<i>Guide</i>, <a href="#">p 134</a>)</li> <li>separation of species (<i>Guide</i>, <a href="#">p 111</a>)</li> <li>separation by disease status (<i>Guide</i>, <a href="#">p 111</a>)</li> <li>security and access control (<i>Guide</i>, <a href="#">p 151</a>)</li> </ul> </li> <li><b>Construction:</b> <ul style="list-style-type: none"> <li>corridors (<i>Guide</i>, <a href="#">p 136</a>)</li> <li>animal room doors (<i>Guide</i>, <a href="#">p 137</a>)</li> <li>exterior windows (<i>Guide</i>, <a href="#">p 137</a>)</li> <li>floors (<i>Guide</i>, <a href="#">p 137</a>)</li> <li>drainage (<i>Guide</i>, <a href="#">p 138</a>)</li> <li>walls and ceilings (<i>Guide</i>, <a href="#">p 138</a>)</li> <li>heating ventilation and air conditioning (<i>Guide</i>, <a href="#">p 139</a>)</li> <li>power and lighting (<i>Guide</i>, <a href="#">p 141</a>)</li> <li>noise control (<i>Guide</i>, <a href="#">p 142</a>)</li> <li>vibration control (<i>Guide</i>, <a href="#">p 142</a>)</li> <li>environmental monitoring (<i>Guide</i>, <a href="#">p 143</a>)</li> </ul> </li> <li><b>Room/Cage:</b> <ul style="list-style-type: none"> <li>temperature and humidity (<i>Guide</i>, <a href="#">p 43</a>)</li> <li>ventilation and air quality (<i>Guide</i>, <a href="#">p 45</a>)</li> <li>illumination (<i>Guide</i>, <a href="#">p 47</a>)</li> <li>noise and vibration (<i>Guide</i>, <a href="#">p 49</a>)</li> </ul> </li> <li><b>Primary Enclosure:</b> <ul style="list-style-type: none"> <li>space meets physiologic, behavioral<sup>xv</sup>, and social<sup>xvi</sup> needs (<i>Guide</i>, <a href="#">pp 51, 55-63</a>)</li> <li>secure environment provided (<i>Guide</i>, <a href="#">p 51</a>)</li> <li>durable, nontoxic materials in good repair and no risk of injury (<i>Guide</i>, <a href="#">p 51</a>)</li> <li>flooring is safe and appropriate for species (<i>Guide</i>, <a href="#">p 51</a>)</li> <li>adequate bedding and structures for resting, sleeping, breeding (<i>Guide</i>, <a href="#">p 52</a>)</li> <li>objective assessments of housing and management are made (<i>Guide</i>, <a href="#">p 52</a>)</li> <li>procedures for routine husbandry are documented (<i>Guide</i>, <a href="#">p 52</a>)</li> <li>socially housed animals can escape or hide to avoid aggression (<i>Guide</i>, <a href="#">p 55</a>)</li> <li>cage height provides adequate clearance (<i>Guide</i>, <a href="#">p 56</a>)</li> <li>animals express natural postures, can turn around, access food and water, and rest away from urine and feces (<i>Guide</i>, <a href="#">p 56</a>) <b>[must]</b></li> <li>rationale<sup>xvii</sup> for <i>Guide</i>/USDA space exceptions approved by IACUC and based on performance indices (<i>Guide</i>, <a href="#">p 56</a>)</li> <li>dogs and cats allowed to exercise and provided human interaction (<i>Guide</i>, <a href="#">p 58</a>)</li> <li>nonhuman primates are socially housed except for scientific, veterinary or behavior reasons (<i>Guide</i>, <a href="#">pp 58-59</a>)</li> <li>single housing of nonhuman primates is for shortest duration possible (<i>Guide</i>, <a href="#">p 60</a>)</li> <li>opportunities for release into larger enclosures is considered for single caged nonhuman primates (<i>Guide</i>, <a href="#">p 60</a>)</li> <li>agricultural animals are housed socially (<i>Guide</i>, <a href="#">p 60</a>)</li> <li>food troughs and water devices for agricultural animals allow access for all animals (<i>Guide</i>, <a href="#">p 60</a>)</li> </ul> </li> <li><b>Environmental Enrichment, Behavioral and Social Management:</b> <ul style="list-style-type: none"> <li>structures and resources promote species typical behavior (<i>Guide</i>, <a href="#">pp 52-54</a>)</li> <li>novelty of enrichment is considered (<i>Guide</i>, <a href="#">p 53</a>)</li> <li>species specific plans for housing including enrichment, behavior and activity are developed and reviewed regularly by IACUC, researchers and veterinarian</li> </ul> </li> </ul>					

(Guide, <a href="#">pp 53, 58, 60, 63</a> )					
o animal care personnel receive training to identify abnormal animal behaviors (Guide, <a href="#">p 53</a> )					
o stability of pairs or groups is monitored for incompatibility (Guide, <a href="#">p 64</a> )					
o single housing is justified for social species (Guide, <a href="#">p 64</a> )					
o single housing is limited to the minimum period necessary (Guide, <a href="#">p 64</a> )					
o additional enrichment for single housed animals is provided (Guide, <a href="#">p 64</a> )					
o single housing is reviewed regularly by IACUC and veterinarian (Guide, <a href="#">p 64</a> )					
o habituation to routine procedures is part of enrichment program (Guide, <a href="#">p 64</a> )					
• <b>Sheltered or Outdoor Housing:</b> (e.g., barns, corrals, pastures, islands)					
o weather protection and opportunity for retreat (Guide, <a href="#">p 54</a> ) [must]					
o appropriate size (Guide, <a href="#">p 54</a> )					
o ventilation and sanitation of shelter (no waste/moisture build-up) (Guide, <a href="#">p 54</a> )					
o animal acclimation (Guide, <a href="#">p 55</a> )					
o social compatibility (Guide, <a href="#">p 55</a> )					
o roundup/restraint procedures (Guide, <a href="#">p 55</a> )					
o appropriate security (Guide, <a href="#">p 55</a> )					
• <b>Naturalistic Environments:</b>					
o animals added /removed with consideration of effect on group (Guide, <a href="#">p 55</a> )					
o adequate food, fresh water, and shelter ensured (Guide, <a href="#">p 55</a> )					
• <b>Food:</b>					
o feeding schedule and procedures including caloric intake management (Guide, <a href="#">pp 65-67</a> )					
o contamination prevention (Guide, <a href="#">p 65</a> )					
o vendor quality control (Guide, <a href="#">p 66</a> )					
o storage in sealed containers (Guide, <a href="#">p 66</a> )					
o expiration date labeling (Guide, <a href="#">p 66</a> )					
o vermin control (Guide, <a href="#">p 66</a> )					
o rotation of stocks (Guide, <a href="#">p 66</a> )					
• <b>Water:</b>					
o ad libitum unless justified (Guide, <a href="#">pp 67-68</a> )					
o QC procedures (Guide, <a href="#">pp 67-68</a> )					
• <b>Bedding and Nesting Materials:</b>					
o species appropriate (Guide, <a href="#">pp 68-69</a> )					
o keeps animals dry (Guide, <a href="#">pp 68-69</a> )					
o QC procedures (Guide, <a href="#">pp 68-69</a> )					
o minimizes scientific variables (Guide, <a href="#">pp 68-69</a> )					
• <b>Sanitation:</b>					
o frequency of bedding/substrate change (Guide, <a href="#">p 70</a> )					
o cleaning and disinfection of microenvironment (Guide, <a href="#">pp 70-71</a> )					
o cleaning and disinfection of macroenvironment (Guide, <a href="#">p 72</a> )					
o assessing effectiveness (Guide, <a href="#">p 73</a> )					
• <b>Waste Disposal:</b>					
o procedures for collection (Guide, <a href="#">pp 73-74</a> )					
o procedures for storage and disposal (Guide, <a href="#">pp 73-74</a> )					
o hazardous wastes are rendered safe before removal from facility (Guide, <a href="#">pp 73-74</a> ) [must]					
o animal carcasses (Guide, <a href="#">pp 73-74</a> )					
• <b>Pest Control:</b>					
o regularly scheduled (Guide, <a href="#">p 74</a> )					
o documented program including control of rodent pests and insecticide use (Guide, <a href="#">p 74</a> )					
• <b>Emergency, Weekend, and Holiday Animal Care:</b>					
o care provided by qualified personnel every day (Guide, <a href="#">p 74</a> )					
o provision for accessible contact information (Guide, <a href="#">p 74</a> )					
o monitoring of backup systems (Guide, <a href="#">p 143</a> )					
o veterinary care available after hours, weekends, and holidays (Guide, <a href="#">pp 74, 114</a> ) [must]					
o a disaster plan that takes into account both personnel and animals (Guide, <a href="#">p 75</a> )					

<ul style="list-style-type: none"> <li>• <b>Identification:</b> <ul style="list-style-type: none"> <li>o cage/rack cards contain required information (<i>Guide</i>, <a href="#">p 75</a>)</li> <li>o genotype information included and standardized nomenclature used when applicable (<i>Guide</i>, <a href="#">p 75</a>)</li> </ul> </li> </ul>					
<ul style="list-style-type: none"> <li>• <b>Recordkeeping:</b> <ul style="list-style-type: none"> <li>o clinical records accessible and contain appropriate information (<i>Guide</i>, <a href="#">pp 75-76</a>)</li> <li>o records are provided when animals are transferred between institutions (<i>Guide</i>, <a href="#">p 75</a>)</li> </ul> </li> </ul>					
<ul style="list-style-type: none"> <li>• <b>Breeding Genetics and Nomenclature:</b> <ul style="list-style-type: none"> <li>o appropriate genetic records, management and monitoring procedures (<i>Guide</i>, <a href="#">p 76</a>)</li> <li>o phenotypes that affect wellbeing are reported to IACUC and effectively managed (<i>Guide</i>, <a href="#">p 77</a>)</li> </ul> </li> </ul>					
<ul style="list-style-type: none"> <li>• <b>Storage:</b> <ul style="list-style-type: none"> <li>o adequate space for equipment, supplies, food, bedding and refuse (<i>Guide</i>, <a href="#">p 141</a>)</li> <li>o bedding in vermin-free area and protected from contamination(<i>Guide</i>, <a href="#">p 141</a>)</li> <li>o food in vermin-free, temperature and humidity controlled area and protected from contamination (<i>Guide</i>, <a href="#">p 141</a>)</li> <li>o refuse storage is separate (<i>Guide</i>, <a href="#">p 141</a>)</li> <li>o carcass and animal tissue storage is separate, refrigerated below 7°C and cleanable (<i>Guide</i>, <a href="#">p 141</a>)</li> </ul> </li> </ul>					
<ul style="list-style-type: none"> <li>• <b>Personnel:</b> <ul style="list-style-type: none"> <li>o adequate space for locker rooms, administration and training (<i>Guide</i>, <a href="#">p 135</a>)</li> </ul> </li> </ul>					

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## NOTES:

## Aquatic Animal Housing and Support Areas NEW

Date:

Location:

	A*	M	S	C	NA
<ul style="list-style-type: none"> <li><b>Location:</b> <ul style="list-style-type: none"> <li>animal areas separate from personnel areas (<i>Guide, p 134</i>)</li> <li>separation of species (<i>Guide, p 111</i>)</li> <li>separation by disease status (<i>Guide, p 111</i>)</li> <li>security and access control (<i>Guide, p 151</i>)</li> </ul> </li> <li><b>Construction:</b> <ul style="list-style-type: none"> <li>corridors (<i>Guide, p 136</i>)</li> <li>animal room doors (<i>Guide, pp 137, 150</i>)</li> <li>exterior windows (<i>Guide, p 137</i>)</li> <li>floors (<i>Guide, pp 137, 150</i>)</li> <li>drainage (<i>Guide, pp 138, 150</i>)</li> <li>walls and ceilings (<i>Guide, pp 138, 150</i>)</li> <li>heating ventilation and air conditioning (<i>Guide, pp 139, 150-151</i>)</li> <li>power and lighting (<i>Guide, pp 141, 150</i>)</li> <li>noise control (<i>Guide, p 142</i>)</li> <li>vibration control (<i>Guide, p 142</i>)</li> <li>environmental monitoring (<i>Guide, p 143</i>)</li> </ul> </li> <li><b>Water Quality:</b> <ul style="list-style-type: none"> <li>standards for acceptable quality are established (<i>Guide, p 78</i>)</li> <li>chlorine, chloramines, chemical, and reactive bioproducts are removed or neutralized prior to use in aquatic systems (<i>Guide, pp 78, 86</i>) <span style="background-color: cyan;">[must]</span></li> </ul> </li> <li><b>Life Support System:</b> <ul style="list-style-type: none"> <li>water source is based on appropriate controls and research requirements (<i>Guide, p 79</i>)</li> <li>biofilter is of sufficient size to process bioload (<i>Guide, p 80</i>) <span style="background-color: cyan;">[must]</span></li> </ul> </li> <li><b>Temperature, Humidity and Ventilation/Illumination/Noise and Vibration:</b> <ul style="list-style-type: none"> <li>temperature and humidity (<i>Guide, pp 43, 80-81</i>)</li> <li>ventilation and air quality (<i>Guide, pp 45, 81</i>)</li> <li>illumination (<i>Guide, pp 47, 81</i>)</li> <li>noise and vibration (<i>Guide, pp 49, 81</i>)</li> </ul> </li> <li><b>Primary Enclosure:</b> <ul style="list-style-type: none"> <li>allows for normal physiological and behavioral needs (<i>Guide, p 82</i>)</li> <li>allows social interaction for social species (<i>Guide, p 82</i>)</li> <li>provides a balanced, stable environment (<i>Guide, p 82</i>)</li> <li>provides appropriate water quality and monitoring (<i>Guide, p 82</i>)</li> <li>allows access to food and waste removal (<i>Guide, p 82</i>)</li> <li>restricts escape and entrapment (<i>Guide, p 82</i>)</li> <li>allows undisturbed observation (<i>Guide, p 82</i>)</li> <li>constructed of nontoxic materials (<i>Guide, p 82</i>)</li> <li>prevents electrical hazards (<i>Guide, p 82</i>)</li> <li>space needs of species are evaluated by IACUC during program evaluations and facility inspections (<i>Guide, p 83</i>)</li> </ul> </li> <li><b>Environmental Enrichment, Social Housing, Behavioral and Social Management:</b> <ul style="list-style-type: none"> <li>enrichment elicits appropriate behaviors and is safe (<i>Guide, p 83</i>)</li> <li>semi-aquatic reptiles are provided terrestrial areas (<i>Guide, p 83</i>)</li> <li>handling is kept to a minimum and appropriate techniques are in place at facility or protocol level (<i>Guide, p 84</i>)</li> <li>nets are cleaned, disinfected and managed to avoid contamination of systems (<i>Guide, p 84</i>)</li> </ul> </li> <li><b>Food:</b> <ul style="list-style-type: none"> <li>storage to prevent contamination, preserve nutrients and prevent pests (<i>Guide, p</i></li> </ul> </li> </ul>					

<a href="#">84)</a>					
o delivery ensures access to all , minimizing aggression and nutrient loss ( <i>Guide, p 84</i> )					
o storage times are based on manufacturer recommendations or accepted practice ( <i>Guide, p 84</i> )					
o a nutritionally complete diet is provided ( <i>Guide, p 84</i> )					
• <b>Substrate:</b>					
o amount, type and presentation of substrate is appropriate for the system and the species ( <i>Guide, p 85</i> )					
• <b>Sanitation, Cleaning and Disinfection</b>					
o frequency of tank/cage cleaning and disinfection is determined by water quality, permits adequate viewing and health monitoring ( <i>Guide, p 86</i> )					
o cleaning and disinfection of macroenvironment ( <i>Guide, p 86</i> )					
• <b>Waste Disposal:</b>					
o procedures for collection ( <i>Guide, pp 73-74</i> )					
o hazardous wastes are rendered safe before removal from facility ( <i>Guide, pp 73-74</i> ) [must]					
o animal carcasses ( <i>Guide, pp 73-74</i> )					
• <b>Pest Control:</b>					
o regularly scheduled ( <i>Guide, p 74</i> )					
o documented program including control of pests and insecticide use ( <i>Guide, p 74</i> )					
• <b>Emergency, Weekend, and Holiday Animal Care:</b>					
o care provided by qualified personnel every day ( <i>Guide, pp 74, 87</i> )					
o provision for accessible contact information ( <i>Guide, pp 74, 87</i> )					
o emergency response plans in place to address major system failures ( <i>Guide, 87</i> )					
o veterinary care available after hours, weekends, and holidays ( <i>Guide, pp 74, 114</i> ) [must]					
• <b>Identification:</b>					
o cage/tank cards contain required information ( <i>Guide, pp 75, 87</i> )					
o genotype information included and standardized nomenclature used when applicable ( <i>Guide, pp 75, 87</i> )					
• <b>Recordkeeping:</b>					
o water quality parameters and frequency of testing recorded ( <i>Guide, p 88</i> )					
o records kept on feeding, nonexpired food supplies, live cultures ( <i>Guide, p 88</i> )					
• <b>Storage:</b>					
o adequate space for equipment, supplies, food, substrate and refuse ( <i>Guide, p 141</i> )					
o substrate protected from contamination ( <i>Guide, p 141</i> )					
o food in vermin-free, temperature and humidity controlled area and protected from contamination ( <i>Guide, p 141</i> )					
o refuse storage is separate ( <i>Guide, p 141</i> )					
o carcass and animal tissue storage is separate, refrigerated below 7°C and cleanable ( <i>Guide, p 141</i> )					
• <b>Personnel:</b>					
o adequate space for locker rooms, administration and training ( <i>Guide, p 135</i> )					

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**NA** = not applicable

## NOTES:

## Cagewash

Date:

Location:

	A *	M	S	C	NA
<ul style="list-style-type: none"> <li><b>Construction and Operation:</b> <ul style="list-style-type: none"> <li>dedicated central area for sanitizing cages and equipment is provided (<i>Guide</i>, <a href="#">p 143</a>)</li> <li>cage-washing equipment meets need (<i>Guide</i>, <a href="#">p 143</a>)</li> <li>doors, windows, floors, drainage, walls, ceilings (<i>Guide</i>, <a href="#">pp 136-138</a>)</li> <li>convenient to animal areas/waste disposal (<i>Guide</i>, <a href="#">p 143</a>)</li> <li>ease of access (including door size) facilitates use (<i>Guide</i>, <a href="#">p 143</a>)</li> <li>sufficient space for staging and maneuvering (<i>Guide</i>, <a href="#">p 143</a>)</li> <li>safety precautions/clothing/equipment used for waste disposal/prewash/acid wash ((<i>Guide</i>, <a href="#">p 143</a>)</li> <li>traffic flow clean to dirty with no contamination of clean equipment by dirty equipment and appropriate air pressurization (<i>Guide</i>, <a href="#">p 143</a>)</li> <li>insulation and/or sound attenuation present as needed (<i>Guide</i>, <a href="#">p 143</a>)</li> <li>utilities are appropriate (<i>Guide</i>, <a href="#">p 143</a>)</li> <li>ventilation meets heat and humidity load (<i>Guide</i>, <a href="#">p 143</a>)</li> <li>safety features (e.g., SOPs, warning signs, eyewash stations) are in use (<i>Guide</i>, <a href="#">p 143</a>)</li> <li>functioning safety devices to prevent entrapment in washer/sterilizers (<i>Guide</i>, <a href="#">p 143</a>)</li> <li>cage wash temperatures are monitored and records are available (<i>Guide</i>, <a href="#">p 73</a>)</li> <li>appropriate clean cage storage (<i>Guide</i>, <a href="#">p 141</a>)</li> </ul> </li> </ul>					

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NOTES:



## Special Facilities: Aseptic Surgery

Date:

Location:

	A *	M	S	C	NA
<ul style="list-style-type: none"> <li><b>General Considerations:</b> <ul style="list-style-type: none"> <li>location minimizes traffic/contamination (<i>Guide</i>, <a href="#">p 144</a>)</li> <li>functional components (surgical support, animal preparation, surgeon scrub, operating room, postoperative recovery) are designed and separated (physically or otherwise) (<i>Guide</i>, <a href="#">p 144</a>)</li> <li>appropriate drug storage, control, expiration date monitoring (<i>Guide</i>, <a href="#">pp 115, 122</a>)</li> <li>safe sharps disposal system (<i>Guide</i>, <a href="#">p 74</a>)</li> <li>adequate records of anesthesia and perioperative care (<i>Guide</i>, <a href="#">p 122</a>)</li> <li>aseptic procedures in use for all survival surgery (<i>Guide</i>, <a href="#">pp 118-119</a>)</li> </ul> </li> <li><b>Operating Room:</b> <ul style="list-style-type: none"> <li>effective contamination control procedures (<i>Guide</i>, <a href="#">p 144</a>)</li> <li>effective cleaning procedures/dedicated tools (<i>Guide</i>, <a href="#">p 145</a>)</li> <li>interior surfaces smooth and impervious to moisture (<i>Guide</i>, <a href="#">p 145</a>)</li> <li>HVAC system meets <i>Guide</i> requirements (<i>Guide</i>, <a href="#">p 145</a>)</li> <li>lighting safe and appropriate (<i>Guide</i>, <a href="#">p 145</a>)</li> <li>outlets safe and appropriate (<i>Guide</i>, <a href="#">p 145</a>)</li> <li>scavenging of anesthetic gases implemented (<i>Guide</i>, <a href="#">p 145</a>)</li> </ul> </li> <li><b>Surgical Support:</b> <ul style="list-style-type: none"> <li>facility for washing, sterilizing, storing instruments and supplies (<i>Guide</i>, <a href="#">p 145</a>)</li> <li>autoclave monitoring procedures are implemented (<i>Guide</i>, <a href="#">pp 119, 145</a>)</li> <li>storage of autoclaved materials maintains sterility (<i>Guide</i>, <a href="#">p 145</a>)</li> <li>cold sterilization procedures are appropriate (<i>Guide</i>, <a href="#">p 119</a>)</li> </ul> </li> <li><b>Animal Preparation:</b> contains large sink to facilitate cleaning of animal and operative site (<i>Guide</i>, <a href="#">p 145</a>)</li> <li><b>Surgeon Scrub:</b> outside operating room, non-hand-operated sink (<i>Guide</i>, <a href="#">p 145</a>)</li> <li><b>Postoperative Recovery:</b> allows adequate observation, easily cleaned, supports physiologic functions, minimizes risk of injury (<i>Guide</i>, <a href="#">p 145</a>)</li> <li><b>Dressing Area:</b> place for personnel to change (<i>Guide</i>, <a href="#">p 145</a>)</li> </ul>					

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NOTES:

**Special Facilities: Procedure Areas, Non-survival Surgeries, Laboratories, Rodent Surgeries, Imaging, Whole Body Irradiation, Hazardous Agent Containment, Behavioral Studies**

**Date:**

**Location:**

	A *	M	S	C	NA
<ul style="list-style-type: none"> <li><b>General Considerations:</b> <ul style="list-style-type: none"> <li>labs used to house animals only when scientifically required and limited to minimum period necessary (<i>Guide</i>, <a href="#">p 134</a>)</li> <li>drug storage, control, and expiration dates (<i>Guide</i>, <a href="#">pp 115, 122</a>)</li> <li>sharps disposal (<i>Guide</i>, <a href="#">p 74</a>)</li> <li>anesthetic monitoring (<i>Guide</i>, <a href="#">p 120</a>)</li> <li>scavenging of anesthetic gases (<i>Guide</i>, <a href="#">p 21</a>)</li> <li>safety features (e.g., SOPs, safety signs, eyewash stations, secure gas cylinders) are in place (<i>Guide</i>, <a href="#">p 19</a>)</li> <li>carcass disposal (<i>Guide</i>, <a href="#">pp 73-74</a>)</li> </ul> </li> <li><b>Additional Concerns for Survival Surgery:</b> (rodent and minor procedures only) <ul style="list-style-type: none"> <li>rodent survival surgery clean and uncluttered, not used for anything else during surgery (<i>Guide</i>, <a href="#">p 144</a>)</li> <li>records of peri-operative care (<i>Guide</i>, <a href="#">p 120</a>)</li> <li>aseptic procedures (<i>Guide</i>, <a href="#">pp 118-119</a>)</li> <li>autoclave monitoring procedures (<i>Guide</i>, <a href="#">pp 119, 145</a>)</li> <li>storage of autoclaved materials (<i>Guide</i>, <a href="#">p 145</a>)</li> <li>cold sterilization procedures are appropriate (<i>Guide</i>, <a href="#">p 119</a>)</li> </ul> </li> <li><b>Imaging/Whole Body Irradiation:</b> <b>NEW</b> <ul style="list-style-type: none"> <li>location of resource limits contamination risk (<i>Guide</i>, <a href="#">p 147</a>)</li> <li>appropriate transportation methods are in place (<i>Guide</i>, <a href="#">p 147</a>)</li> <li>gas anesthesia provision, scavenging and monitoring are appropriate (<i>Guide</i>, <a href="#">p 147</a>)</li> <li>appropriate sensors and ventilation are provided for cryogen gases (<i>Guide</i>, <a href="#">p 147</a>) <b>[must]</b></li> <li>imaging console is located away from radiation source (<i>Guide</i>, <a href="#">p 147</a>)</li> </ul> </li> <li><b>Hazardous Agent Containment:</b> <b>NEW</b> <ul style="list-style-type: none"> <li>facility adheres to APHIS, USDA and CDC Select Agent Regulations and other federal, state and local regulations including security measures (<i>Guide</i>, <a href="#">p 148</a>) <b>[must]</b></li> </ul> </li> <li><b>Behavioral Studies:</b> <b>NEW</b> <ul style="list-style-type: none"> <li>facility minimizes airborne transmission of noise and ground-borne transmission of vibration (<i>Guide</i>, <a href="#">p 149</a>)</li> <li>floor coverings reduce sound transmission (<i>Guide</i>, <a href="#">p 149</a>)</li> <li>testing equipment allows for surface disinfection (<i>Guide</i>, <a href="#">p 150</a>)</li> <li>components that cannot be cleaned are not in ready contact with animals and kept covered when not in use (<i>Guide</i>, <a href="#">p 150</a>)</li> <li>housing areas are contiguous with testing areas when appropriate (<i>Guide</i>, <a href="#">p 150</a>)</li> </ul> </li> </ul>					

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