



## Inspection Report

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Northwestern University  
750 N. Lake Shore Dr  
Rubloff 7-735  
Chicago, IL 60611

Customer ID: **580**

Certificate: **33-R-0129**

Site: 004

HOGAN BUILDING

Type: ROUTINE INSPECTION

Date: 22-JUN-2017

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No non-compliant items identified during this inspection.

This inspection and exit briefing was conducted with the facility representatives.

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**Prepared By:**

BARKSDALE DAWN, D V M      USDA, APHIS, Animal Care

**Date:**

03-JUL-2017

**Title:** VETERINARY MEDICAL OFFICER 1062

**Received By:**

(b) (6), (b) (7)(C) IACUC DIRECTOR

**Date:**

03-JUL-2017

**Title:** SENT BY EMAIL  
19-04105\_000001



Cust No	Cert No	Site	Site Name	Inspection
580	33-R-0129	004	NORTHWESTERN UNIVERSITY	22-JUN-17

Count	Species
000005	RHESUS MACAQUE
000006	DOMESTIC RABBIT / EUROPEAN RABBIT
<b>000011</b>	<b>Total</b>



## Inspection Report

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Northwestern University  
750 N. Lake Shore Dr  
Rubloff 7-735  
Chicago, IL 60611

Customer ID: **580**

Certificate: **33-R-0129**

Site: 001

LURIE BUILDING

Type: ROUTINE INSPECTION

Date: 23-JUN-2017

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No non-compliant items identified during this inspection.

This inspection and exit briefing was conducted with the facility representatives.

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**Prepared By:**

BARKSDALE DAWN, D V M      USDA, APHIS, Animal Care

**Date:**

03-JUL-2017

**Title:** VETERINARY MEDICAL OFFICER 1062

**Received By:**

(b) (6), (b) (7)(C) IACUC DIRECTOR

**Date:**

03-JUL-2017

**Title:** SENT BY EMAIL  
19-04105\_000003



Cust No	Cert No	Site	Site Name	Inspection
580	33-R-0129	001	NORTHWESTERN UNIVERSITY	23-JUN-17

Count	Species
000003	DOMESTIC PIG / POTBELLY PIG / MICRO PIG
000004	CAT ADULT
000004	DOG ADULT
000004	DOMESTIC GUINEA PIG
000010	RHESUS MACAQUE
000012	MONGOLIAN GERBIL (INCLUDING MOST COMMON US PET AND RESEARCH VARIETIES)
000042	DOMESTIC RABBIT / EUROPEAN RABBIT
<b>000079</b>	<b>Total</b>



## Inspection Report

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Northwestern University  
750 N. Lake Shore Dr  
Rubloff 7-735  
Chicago, IL 60611

Customer ID: **580**

Certificate: **33-R-0129**

Site: 004

HOGAN BUILDING

Type: ROUTINE INSPECTION

Date: 25-JUL-2018

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No non-compliant items identified during this inspection.

This inspection and exit briefing was conducted with facility representatives.

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**Prepared By:**

BARKSDALE DAWN, D V M      USDA, APHIS, Animal Care

**Date:**  
25-JUL-2018

**Title:** VETERINARY MEDICAL OFFICER 1062

**Received By:**

(b) (6), (b) (7)(C) IACUC DIRECTOR

**Date:**  
25-JUL-2018

**Title:** SENT BY EMAIL  
19-04105\_000005



## Species Inspected

Cust No	Cert No	Site	Site Name	Inspection
580	33-R-0129	004	HOGAN BUILDING	25-JUL-18

Count	Scientific Name	Common Name
000004	<i>Macaca mulatta</i>	RHESUS MACAQUE
<b>000004</b>	<b>Total</b>	



## Inspection Report

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Northwestern University  
750 N. Lake Shore Dr  
Rubloff 7-735  
Chicago, IL 60611

Customer ID: **580**

Certificate: **33-R-0129**

Site: 001

LURIE BUILDING

Type: ROUTINE INSPECTION

Date: 25-JUL-2018

---

No non-compliant items identified during this inspection.

This inspection and exit briefing was conducted with facility representatives.

---

**Prepared By:**

BARKSDALE DAWN, D V M      USDA, APHIS, Animal Care

**Date:**  
25-JUL-2018

**Title:** VETERINARY MEDICAL OFFICER 1062

**Received By:**

(b) (6), (b) (7)(C) IACUC DIRECTOR

**Date:**  
25-JUL-2018

**Title:** SENT BY EMAIL  
19-04105\_000007



## Species Inspected

Cust No	Cert No	Site	Site Name	Inspection
580	33-R-0129	001	LURIE BUILDING	25-JUL-18

Count	Scientific Name	Common Name
000014	<i>Canis lupus familiaris</i>	DOG ADULT
000002	<i>Cavia porcellus</i>	DOMESTIC GUINEA PIG
000008	<i>Felis silvestris catus</i>	CAT ADULT
000009	<i>Macaca mulatta</i>	RHESUS MACAQUE
000006	<i>Meriones unguiculatus</i>	MONGOLIAN GERBIL (COMMON PET / RESEARCH VARIETY)
000021	<i>Oryctolagus cuniculus</i>	DOMESTIC RABBIT / EUROPEAN RABBIT
000008	<i>Spermophilus tridecemlineatus</i>	THIRTEEN-LINED GROUND SQUIRREL
<b>000068</b>	<b>Total</b>	





## Inspection Report

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Northwestern University  
750 N. Lake Shore Dr  
Rubloff 7-735  
Chicago, IL 60611

Customer ID: **580**

Certificate: **33-R-0129**

Site: 001

LURIE BUILDING

Type: ROUTINE INSPECTION

Date: 25-JUL-2018

---

No non-compliant items identified during this inspection.

This inspection and exit briefing was conducted with facility representatives.

---

**Prepared By:**

BARKSDALE DAWN, D V M      USDA, APHIS, Animal Care

**Date:**  
25-JUL-2018

**Title:** VETERINARY MEDICAL OFFICER 1062

**Received By:**

(b) (6), (b) (7)(C) IACUC DIRECTOR

**Title:** SENT BY EMAIL  
19-04105\_000009

**Date:**  
25-JUL-2018



## Species Inspected

Cust No	Cert No	Site	Site Name	Inspection
580	33-R-0129	001	LURIE BUILDING	25-JUL-18

Count	Scientific Name	Common Name
000014	<i>Canis lupus familiaris</i>	DOG ADULT
000002	<i>Cavia porcellus</i>	DOMESTIC GUINEA PIG
000008	<i>Felis silvestris catus</i>	CAT ADULT
000009	<i>Macaca mulatta</i>	RHESUS MACAQUE
000006	<i>Meriones unguiculatus</i>	MONGOLIAN GERBIL (COMMON PET / RESEARCH VARIETY)
000021	<i>Oryctolagus cuniculus</i>	DOMESTIC RABBIT / EUROPEAN RABBIT
000008	<i>Spermophilus tridecemlineatus</i>	THIRTEEN-LINED GROUND SQUIRREL
<b>000068</b>	<b>Total</b>	

**From:** (b) (6), (b) (7)(C)  
**To:** [Barksdale, Dawn E - APHIS](#)  
**Subject:** RE: USDA inspection  
**Date:** Thursday, July 26, 2018 7:56:12 AM  
**Attachments:** [image002.png](#)

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Hi Dr. Barksdale,

The final reports look good to me. Have a wonderful rest of the week and hope you get some down time to enjoy the little bit of summer left!

Thanks so much,

(b) (6), (b) (7)(C)

Northwestern | RESEARCH  
<https://research.northwestern.edu/>

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**From:** Barksdale, Dawn E - APHIS <[Dawn.E.Barksdale@aphis.usda.gov](mailto:Dawn.E.Barksdale@aphis.usda.gov)>  
**Sent:** Thursday, July 26, 2018 7:47 AM  
**To:** (b) (6), (b) (7)(C)  
**Subject:** RE: USDA inspection

Good morning (b) (6), (b) (7)(C)

Here are the final inspection reports. Once you have reviewed the reports your response to this email will serve as your signature. If you have any further questions, please let me know. Have a great day!

Dawn Barksdale, DVM  
Veterinary Medical Officer  
[dawn.e.barksdale@aphis.usda.gov](mailto:dawn.e.barksdale@aphis.usda.gov)  
920 Main Campus Drive, Ste 200  
Raleigh, NC 27606

(b) (6), (b) (7)(C)

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**From:** (b) (6), (b) (7)(C)  
**Sent:** Thursday, July 26, 2018 6:30 AM  
**To:** Barksdale, Dawn E - APHIS <[Dawn.E.Barksdale@aphis.usda.gov](mailto:Dawn.E.Barksdale@aphis.usda.gov)>  
**Subject:** RE: USDA inspection

Good Morning Dr. Barksdale!

The Evanston (Hogan) counts look good. Please see the updated counts below:

000002 *Cavia porcellus* DOMESTIC GUINEA PIG

000008 *Felis silvestris catus* CAT ADULT

000006 *Meriones unguiculatus* MONGOLIAN GERBIL (COMMON PET / RESEARCH VARIETY)

Please let me know if you need any other clarifications. Thanks so much for all your guidance.

See you next year!

(b) (6), (b) (7)(C)

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**From:** Barksdale, Dawn E - APHIS <[Dawn.E.Barksdale@aphis.usda.gov](mailto:Dawn.E.Barksdale@aphis.usda.gov)>  
**Sent:** Wednesday, July 25, 2018 2:41 PM  
**To:** (b) (6), (b) (7)(C)  
**Subject:** USDA inspection

Hi (b) (6), (b) (7)(C)

It was a pleasure to meet with you and your staff yesterday and today. As we discussed I am forwarding a copy of the inspection. Please verify the animal inventory on the second page of the report for accuracy. Once you have reviewed the report and verified the numbers, I will forward a final copy to you. Your response to that correspondence will serve as your signature. If you have any further questions, please let me know.

Dawn Barksdale, DVM  
Veterinary Medical Officer  
[dawn.e.barksdale@aphis.usda.gov](mailto:dawn.e.barksdale@aphis.usda.gov)  
920 Main Campus Drive, Ste 200  
Raleigh, NC 27606

(b) (6), (b) (7)(C)

This electronic message contains information generated by the USDA solely for the intended

recipients. Any unauthorized interception of this message or the use or disclosure of the information it contains may violate the law and subject the violator to civil or criminal penalties. If you believe you have received this message in error, please notify the sender and delete the email immediately.

MAR 28 2017

Every research facility, exhibitor, carrier, and intermediate handler not required to be licensed under Section 3 of the Animal Welfare Act, shall register with the USDA (7 USC 2136). This application provides information for such registration.		OMB No. 0579-0036 FORM APPROVED	
U.S. DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE <b>APPLICATION FOR REGISTRATION</b> (TYPE OR PRINT)  <b>REGISTRATION UPDATE</b>		<b>USDA USE ONLY</b>  Applicant should send completed form to this address. USDA APHIS ANIMAL CARE EASTERN 920 Main Campus Drive Suite 200 Raleigh, NC 27606-5210 (919) 855-7100	
		<b>CERTIFICATE NO./CUST NO:</b> 33-R-0129  580	<b>RENEWAL DATE</b> 11-Apr-2017  11 APR 2020
<b>1. REGISTRANT (Name and permanent mailing address, including Zip Code)</b>  Northwestern University 750 N. Lake Shore Dr Rubloff 7-735 Chicago, IL 60611  COUNTY: COOK TELEPHONE (312) 908 - 8257		<b>2. LOCATION(S) OF BUSINESS, EXHIBITION SITE(S), OR RESEARCH FACILITIES</b> (Use additional sheets if necessary) Northwestern University 320 E. Superior Street Chicago, IL 60611 County: Cook 750 N Lake Shore Dr Rubloff 7-735	
<b>3. (A) PREVIOUS USDA REGISTRATION NUMBER (IF ANY)</b>  33-R-0040		<b>4. (B) ACTIVE USDA CERTIFICATE NUMBER(S) IN WHICH YOU HAVE AN INTEREST:</b>  N/A	
<b>5. ARE YOU USING FEDERAL FUNDS TO CARRY OUT RESEARCH, TESTS, OR EXPERIMENTS</b>  <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		<b>6. TYPE OF REGISTRATION:</b> <input type="checkbox"/> Class E - Exhibitor <input type="checkbox"/> Class H - Intermediate Handler <input type="checkbox"/> Class R - Research Facility <input type="checkbox"/> Class T - Carrier	
<b>7. FEDERAL FUND TYPES:</b> <input checked="" type="checkbox"/> Award <input checked="" type="checkbox"/> Contract <input checked="" type="checkbox"/> Grant <input type="checkbox"/> Loan		<b>8. TYPE OF ORGANIZATION:</b> <input type="checkbox"/> Partnership <input type="checkbox"/> Corporation <input type="checkbox"/> Individual <input checked="" type="checkbox"/> Other (Specify) Academic	
<b>9. IF INDIVIDUAL IDENTIFY EACH OWNER, IF PARTNERSHIP IDENTIFY EACH PARTNER OR OFFICER, IF CORPORATION, IDENTIFY PRINCIPAL OFFICERS FOR RESEARCH FACILITIES INCLUDE THE INSTITUTIONAL OFFICIAL (Use separate sheet if needed)</b>			
A. NAME	B. TITLE	C. ADDRESS (Use additional sheets if needed)	
(b) (6), (b) (7)(C), (b) (7)(F)			
CERTIFICATION			
I hereby register as a Research Facility, Exhibitor, Carrier, or Intermediate Handler under the Animal Welfare Act, 7 U.S.C., 2131 et seq. and I certify that the information provided herein is true and correct to the best of my knowledge. I hereby acknowledge receipt of and agree to comply with all the regulations and standards contained in 9 CFR, Subpart A, parts 1, 2 and 3. I certify that all listed persons are 18 years of age or older.			
<b>10. SIGNATURE</b> (b) (6), (b) (7)(C)		<b>12. DATE SIGNED</b> 23 Mar 17	





EXPIRATION DATE: APRIL 11, 2020

United States  
Department of  
Agriculture

Marketing and  
Regulatory  
Programs

Animal and  
Plant Health  
Inspection  
Service

Animal Care

This is to certify that

NORTHWESTERN UNIVERSITY

is a registered  
under the

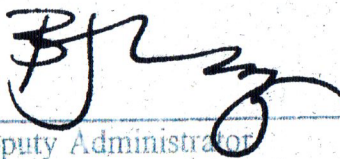
CLASS R RESEARCH FACILITY

## Animal Welfare Act

(7 U.S.C. 2131 et seq.)

Certificate No. 33-R-0129

Customer No. 580

  
Deputy Administrator

MAR 28 2017

# Northwestern | RESEARCH

March 23, 2017

Elizabeth Goldentyer, D.V.M.  
Regional Director- Animal Care  
920 Main Campus Drive, Suite 200  
Raleigh, NC 27606-5213

Dear Dr. Goldentyer,

Please be aware of the following changes and updates to Northwestern University's registration (33-R-0129).

1. Name of registrant and mailing address should continue to be: (b) (6), (b) (7)(C)  
(b) (6), (b) (7)(C) Northwestern University, 750 N. Lake Shore Dr., Rubloff 7-735, Chicago, IL 60611.
2. All Current Business Locations Housing Animals are:
  - a. Northwestern University,
  - b. Northwestern University,
  - c. Northwestern University,
  - d. Northwestern University,
  - e. Northwestern University,
  - f. Northwestern University,
  - g. (b) (7)(F)

Please contact me if you have any questions.

Sincerely,

(b) (6), (b) (7)(C)

Office of Research, Center for Comparative Medicine  
750 N Lakeshore Drive,  
Rubloff Building 7-735,  
Chicago, Illinois 60611