

Annual Report to OLAW

Institution: Northwestern University
Assurance Number: D16-00182 (A3283-01)
Reporting Period: January 1, 2019 – December 31, 2019

This institution's Institutional Animal Care and Use Committee (IACUC), through the Institutional Official, provides this annual report to the Office of Laboratory Animal Welfare (OLAW).

I. Program Changes *[Select A or B]*

- ☐ A. There have been **no changes** in this institution's program for animal care and use as described in the Assurance. *[Skip to Item II.]*
- ☒ B. Change(s) in this institution's program for animal care and use as described in the Assurance have occurred during this reporting period. ([FAQ 6](#))

Select all that apply:

- ☐ This institution's AAALAC accreditation status has changed ([PHS Policy IV.A.2.](#)).
- ☐ [AAALAC Accredited](#) – Category 1
- ☐ Non-Accredited – Category 2
- ☐ This institution's program for animal care and use has changed ([PHS Policy IV.A.1.a-i.](#)). *[Attach a full description of the changes.]*
- ☒ The individual designated by this institution as the Institutional Official has changed. *[Provide name, title(s), address, e-mail, phone, and fax numbers in Item V.]*
- ☒ The membership of this institution's IACUC has changed. *[Provide current roster of members in Item VI.]*

II. Semiannual Evaluations

This IACUC has conducted semiannual evaluations of the institution's program and inspections of the institution's facilities (including satellite facilities) on the dates below. Reports of the evaluations and inspections have been submitted to the Institutional Official. The reports include any IACUC-approved departures from the *Guide* with a reason for each departure, any deficiencies (significant or minor) that were identified, and a plan and schedule for correction of each deficiency. *[Do not provide semiannual reports unless they include a minority view.]*

A. Program Evaluations

[Two dates (month/day/year) must be provided to satisfy the PHS Policy requirement that evaluations be done at 6 month intervals. If the IACUC conducted more than 2 evaluations of the program during the reporting period, please attach a list showing the dates.]

Date 1: June 20, 2019	Date 2: December 19, 2019
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B. Facility Inspections

[Two dates (month/day/year) must be provided to satisfy the PHS Policy requirement that facility inspections be done at 6 month intervals. If the IACUC conducted more than 2 inspections of each site during the reporting period, please attach a list showing the dates.]

Date 1: Evanston: April 8, 2019 Chicago: March 28-29, 2019 SMCRI: April 10, 2019 Lab & Satellites: October 2018 – April 2019	Date 2: Evanston: October 22, 2019 Chicago: October 3-4 & 8, 2019 Simpson Querrey: June 5, 2019; October 28, 2019 Lab & Satellites: May 2019 – September 2019
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III. Minority Views [Select A or B]

- [X] A. There were **no minority** views during this reporting cycle.
- [] B. Any minority views submitted by members of the IACUC regarding reports filed under [PHS Policy IV.F.](#) for this reporting cycle are attached.

IV. Signatures

IACUC Chairperson	Institutional Official
Name: CJ Heckman	Name: Milan Mrksich
(b) (6)	(b) (6)
Signature: (b) (6)	Signature: (b) (6)
Date: 1/13/2020	Date: 1/14/20

V. Change in Institutional Official

Name: Milan Mrksich	
Title: Interim Vice President for Research	Degree/Credential: Ph.D.
Name of Institution: Northwestern University	
Address: 750 N. Lake Shore Drive Rubloff Bldg. 7 th Floor Chicago, Illinois 60611	
E-mail: milan.mrksich@northwestern.edu	
Phone: (b) (6)	Fax: (b) (6)

VI. Change in IACUC Membership [Current roster]

Institution: Northwestern University			
IACUC Contact Information			
Address: 750 N. Lake Shore Drive Rubloff Bldg. 7 th Floor Chicago, Illinois 60611			
E-mail: (b) (6)@northwestern.edu			
Phone: (b) (6)		Fax: (b) (6)	
IACUC Chairperson			
Name: CJ Heckman			
Title: Professor		Degree/Credentials: Ph.D.	
PHS Policy Membership Requirements***: Scientist			
IACUC Roster [Provide below or attach]			
Name of Member/ Code*	Degree/ Credential	Position Title/ Occupational Background**	PHS Policy Membership Requirements***
(b) (6)			Scientist
			Veterinarian
			Scientist
			Scientist
			Non affiliate
			Member
7: Lisa Forman	DVM, ACLAM	Executive Director CCM / Attending Veterinarian	Veterinarian
(b) (6)			Scientist
			Scientist
			Scientist
			Scientist
			Member
			Member
			Scientist
			Scientist
			Veterinarian
			Scientist
			Non Scientist

(b) (6)	Member
	Scientist
	Scientist
	Non affiliate
	Scientist
	Scientist
	Scientist
	Scientist
	Scientist
	Alternate for #1, #6, or #26
	Alternate for #2, #7, or #16
	Alternate for #12 or #13
	Alternate for #2, #7, or #16
	Alternate for #12 or #13
	Alternate for #1, #6, or #26
	Alternate for #2, #7, or #16
	Alternate for #12 or #13
	Alternate for #1, #6, or #26
	Alternate for #2, #7, or #16
	Alternate for #12 or #13

* Names of members, other than the chairperson and veterinarian, may be represented by a number or symbol in this report to OLAW. Sufficient information to determine that all appointees are appropriately qualified must be provided and the identity of each member must be readily ascertainable by the institution and available to authorized OLAW or other PHS representatives upon request.

** List specific position titles for all members, including nonaffiliated (e.g., banker, teacher, volunteer fireman; not "community member" or "retired").

*** [PHS Policy](#) Membership Requirements:

- Veterinarian* veterinarian with training or experience in laboratory animal science and medicine or in the use of the species at the institution, who has direct or delegated program authority and responsibility for activities involving animals at the institution.
- Scientist* practicing scientist experienced in research involving animals.
- Nonscientist* member whose primary concerns are in a nonscientific area (for example, ethicist, lawyer, member of the clergy).
- Nonaffiliated* individual who is not affiliated with the institution in any way other than as a member of the IACUC, and is not a member of the immediate family of a person who is affiliated with the institution. This member is expected to

represent general community interests in the proper care and use of animals and should not be a laboratory animal user. A consulting veterinarian may not be considered nonaffiliated.

[Note: all members must be appointed by the CEO (or individual with specific written delegation to appoint members) and must be voting members. Non-voting members and alternate members must be so identified.]