



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

November 6, 2019

Re: Animal Welfare Assurance
#A3180-01 (OLAW Case 5F)

Dr. Todd Sherer
Interim Vice President for
Research Administration
Emory University
1599 Clifton Road, NE, 4th Floor
Atlanta, Georgia 30322-1599

Dear Dr. Sherer,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your October 30, 2019 letter reporting a noncompliance with the PHS Policy on the Humane Care and Use of Laboratory Animals at Emory University. Your letter supplements the information provided in the initial email report on June 20, 2019. According to the information provided it is understood that on April 16, 2019 it was determined that a lab was housing rats for a longer time than what was approved on their protocol and within an unapproved space. The animals were assigned to a PHS-funded activity.

The corrective and preventive actions consisted of the lab, with assistance from EU-DAR animal care staff, setting up research and monitoring equipment within the correct, approved space. The lab has stated that they will work closely with EU-DAR to ensure that the health of the animals remain their priority.

OLAW believes that the corrective and preventive measures put in place by Emory University are consistent with the provisions of the PHS Policy on Humane Care and Use of Laboratory Animals. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

(b) (6)

Brent C. Morse, DVM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact



EMORY
UNIVERSITY

Institutional Animal Care and Use Committee

October 30, 2019

Brent Morse DVM
Acting Director, Division of Compliance
Oversight Office of Laboratory Animal Welfare
National Institutes of Health
RKL 1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982
Re: Emory University, Animal Welfare Assurance #A3180-01

Dear Dr. Morse,

The Emory University Institutional Animal Care and Use Committee (IACUC), through the Institutional Official, is reporting the results of an event as required by section IV, F, 3a of the *Public Health Service Policy on Humane Care and Use of Laboratory Animals* (PHS Policy). A preliminary report was provided via email to your office on June 20, 2019. This is the final report regarding the housing of rats within an unapproved protocol space for a longer amount time than what was in the PI's IACUC protocol. The associated NIH-funded grant is NIH 1R01 HL143065-01A1.

Situation and Assessment

On April 16, 2019 during an IACUC semi-annual inspection, it was discovered that a lab was housing rats for a longer time than what was approved on their protocol and within a different space due to difficulties of monitoring their animals while performing procedures.

The corrective actions to prevent recurrences are detailed as follows:

1. The lab, with assistance of EU-DAR animal care staff and veterinarian staff, was able to set up research and monitoring equipment within the correct, approved space.
2. The lab has stated that they will continue to work closely with EU-DAR to ensure that the health of the animals remain their priority.

The IACUC believes its investigation of this incident was thorough and the corrective actions appropriate to prevent a similar occurrence. If you have questions regarding this incident, please contact me or Dr. David Martin, Director, IACUC Office.

Sincerely, 

(b) (6)

Todd Sherer, PhD
Interim Vice President for Research Administration
Emory University

Cc: Jeff Boatright, IACUC Chair; AAALAC

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Monday, November 4, 2019 6:24 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Final Notification Emory University (Assurance #A3180-01)

Thank you for this report, (b) (6) We will send a response soon.
Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: (b) (6)
Sent: Friday, November 1, 2019 4:00 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Martin, W David <dwmarti@emory.edu>; (b) (6)
Subject: Final Notification Emory University (Assurance #A3180-01)

To Whom It May Concern,

Please accept this final report of a protocol violation at Emory University (Assurance #A3180-01). If there are any questions or concerns, please feel free to contact me.

Best,
(b) (6)

This e-mail message (including any attachments) is for the sole use of the intended recipient(s) and may contain confidential and privileged information. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution or copying of this message (including any attachments) is strictly prohibited.

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Morse, Brent (NIH/OD) [E]

From: (b) (6)
Sent: Tuesday, October 29, 2019 8:35 AM
To: OLAW Division of Compliance Oversight (NIH/OD)
Cc: Martin, W David; (b) (6)
Subject: RE: [External] RE: Final Notification Emory University (Assurance #A3180-01)

Good morning Dr. Morse,

We are still collecting information on these open cases. They will be completed within a two week time frame. Thank you for reaching out to us.

Best,
(b) (6)

From: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Sent: Tuesday, October 29, 2019 8:30 AM
To: (b) (6) OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Martin, W David <dwmarti@emory.edu>
Subject: [External] RE: Final Notification Emory University (Assurance #A3180-01)

We would also like to take this opportunity to coordinate with Emory regarding the 10+ cases opened in June – July 2019 based on preliminary email reports from your office. Please let us know the status of these investigations and your plans for submitting final or interim reports to OLAW. Thank you.

Sincerely, Brent Morse

Brent C. Morse, DVM, DACLAM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

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From: (b) (6)
Sent: Monday, October 28, 2019 4:31 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: (b) (6) Martin, W David <dwmarti@emory.edu>
Subject: Final Notification Emory University (Assurance #A3180-01)

To Whom It May Concern,

Please accept this final report of a rodent health and welfare concern at Emory University (Assurance #A3180-01). If there are any questions or concerns, please feel free to contact me.

Best,

(b) (6)



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Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Friday, June 21, 2019 8:49 AM
To: (b) (6) OLAW Division of Compliance Oversight (NIH/OD)
Cc: Martin, W David
Subject: RE: Preliminary Notification Emory University (Assurance #A3180-01)

Thank you for providing this preliminary report Dr. Martin. We will open a case file and await further information.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM
 Director
 Division of Compliance Oversight
 Office of Laboratory Animal Welfare
 National Institutes of Health

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From: (b) (6)
Sent: Thursday, June 20, 2019 10:32 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: (b) (6) Martin, W David <dwmarti@emory.edu>
Subject: RE: Preliminary Notification Emory University (Assurance #A3180-01)

To Whom It May Concern,

Please accept this preliminary notification of a rodent health and welfare concerns at Emory University (Assurance #A3180-01). An incident occurred where a lab was housing rats within a space that was not approved in their IACUC protocol. The NIH funding on this protocol is NIH 1R01HL143065-01A1. This investigation is ongoing and corrective actions are still being completed. A final report will be upcoming.

W. David Martin PhD, CPIA
 Director, Institutional Animal Care and Use Committee (IACUC)
 Phone: (b) (6)
 Email: dwmarti@emory.edu
 Internet: <http://www.iacuc.emory.edu/>

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