



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

November 22, 2019

Re: Animal Welfare Assurance
#A3180-01 (OLAW Case 5G)

Dr. Todd Sherer
Interim Vice President for Research Administration
Emory University
1599 Clifton Road NE – 4th floor
Atlanta, GA 30322

Dear Dr. Sherer,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your November 13, 2019 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at Emory University, following up on an initial telephone report on June 20, 2019. According to the information provided, OLAW understands that the same kidney biopsy needle was used in succession on two separate nonhuman primates to obtain tissue samples. The sterile needle had been cleaned with alcohol between the animals but had not be re-sterilized.

The corrective actions consisted of counseling the investigator responsible on using aseptic technique. All laboratory staff working with primates was retrained on using aseptic technique and on the relevant standard operating procedures.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Contact



EMORY
UNIVERSITY

Institutional Animal Care and Use Committee

November 13, 2019

Brent Morse DVM
Acting Director, Division of Compliance
Oversight Office of Laboratory Animal Welfare
National Institutes of Health
RKL 1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982
Re: Emory University, Animal Welfare Assurance #A3180-01

Dear Dr. Morse,

The Emory University Institutional Animal Care and Use Committee (IACUC), through the Institutional Official, is reporting the results of an event as required by section IV, F, 3a of the *Public Health Service Policy on Humane Care and Use of Laboratory Animals* (PHS Policy). A preliminary report was provided via email to your office on June 20, 2019. This is the final report regarding the use the same sterile needle on two separate animals. The associated NIH-funded grant is NIH U01 A1132904-01.

Situation and Assessment

On May 3, 2019, an incident occurred where a member of a research laboratory used the same sterile kidney biopsy needle to perform a kidney biopsy on two separate animals. The needle was cleaned with alcohol in between uses but was not properly re-sterilized.

The corrective actions to prevent recurrences are detailed as follows:

1. The PI coached the researcher involved on the importance of aseptic techniques.
2. The PI had the research group that work on NHPs undergo remedial training on aseptic techniques and had them re-review the lab's standard operating procedures for sterile procedures.

The IACUC believes its investigation of this incident was thorough and the corrective actions appropriate to prevent a similar occurrence. If you have questions regarding this incident, please contact me or Dr. David Martin, Director, IACUC Office.

Sincerely,

(b) (6)

Todd Sherer, PhD
Interim Vice President for Research Administration
Emory University

Cc: Jeff Boatright, IACUC Chair; AAALAC

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, November 20, 2019 7:59 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Final Notification Emory University (Assurance #A3180-01)

Thank you for these reports, (b) (6) We will respond soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: (b) (6)
Sent: Monday, November 18, 2019 12:59 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: (b) (6)
Subject: Final Notification Emory University (Assurance #A3180-01)

To Whom It May Concern,

Please accept these final reports of a 1.) improper toe clipping of mice and protocol violation, 2.) death of lampreys due to low tank water and aeration, and 3.) use of the same sterile needle on two separate animals at Emory University (Assurance #A3180-01). If there are any questions or concerns, please feel free to contact me.

Best,

(b) (6)

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Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Friday, June 21, 2019 8:46 AM
To: (b) (6) OLAW Division of Compliance Oversight (NIH/OD)
Cc: Martin, W David
Subject: RE: Preliminary Notification Emory University (Assurance #A3180-01)

Thank you for providing this preliminary report Dr. Martin. We have noted the correction in animal species. We will open a case file and await further information.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM
 Director
 Division of Compliance Oversight
 Office of Laboratory Animal Welfare
 National Institutes of Health

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From: (b) (6)
Sent: Thursday, June 20, 2019 11:02 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Martin, W David <dwmarti@emory.edu>; (b) (6)
Subject: RE: Preliminary Notification Emory University (Assurance #A3180-01)

To Whom It May Concern,

Please accept this correction for the reported incident below. This is a preliminary notification of a non-human primate health and welfare concern at Emory University.

Thank you.

W. David Martin PhD, CPIA
 Director, Institutional Animal Care and Use Committee (IACUC)
 Phone: (b) (6)
 Email: dwmarti@emory.edu
 Internet: <http://www.iacuc.emory.edu/>

From: (b) (6)
Sent: Thursday, June 20, 2019 10:50 AM
To: olawdco@od.nih.gov
Cc: Martin, W David <dwmarti@emory.edu>; (b) (6)
Subject: RE: Preliminary Notification Emory University (Assurance #A3180-01)

To Whom It May Concern,

Please accept this preliminary notification of a ~~rodent~~ health and welfare concern at Emory University (Assurance #A3180-01). An incident occurred where a member of a lab used an improperly sterilized needle on two separate study animals. The grant associated with this protocol NIH U01 A1132904-01. This investigation is ongoing and corrective actions are still being completed. A final report will be upcoming.

W. David Martin PhD, CPIA

Director, Institutional Animal Care and Use Committee (IACUC)

Phone: (b) (6)

Email: dwmarti@emory.edu

Internet: <http://www.iacuc.emory.edu/>

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