



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

November 21, 2019

Re: Animal Welfare Assurance
#A3180-01 (OLAW Case 5J)

Dr. Todd Sherer
Interim Vice President for Research Administration
Emory University
1599 Clifton Road NE – 4th floor
Atlanta, GA 30322

Dear Dr. Sherer,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your November 13, 2019 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at Emory University, following up on an initial report on June 20, 2019. According to the information provided, OLAW understands that 13 mice were subjected to toe clipping although this had not been described in the protocol. Furthermore, the procedure had not been performed correctly.

The corrective actions consisted of euthanizing the mice, counseling the laboratory staff on the requirement for only performing approved animal procedures, counseling the laboratory staff on genotyping methods, and retraining the staff.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy.

Sincerely,

 (b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Contact



EMORY
UNIVERSITY

Institutional Animal Care and Use Committee

November 13, 2019

Brent Morse DVM
Acting Director, Division of Compliance
Oversight Office of Laboratory Animal Welfare
National Institutes of Health
RKL 1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982
Re: Emory University, Animal Welfare Assurance #A3180-01

Dear Dr. Morse,

The Emory University Institutional Animal Care and Use Committee (IACUC), through the Institutional Official, is reporting the results of an event as required by section IV, F, 3a of the *Public Health Service Policy on Humane Care and Use of Laboratory Animals* (PHS Policy). A preliminary report was provided via email to your office on June 20, 2019. This is the final report regarding mice being improperly toe clipped. The associated NIH-funded grant is NIH AG023695.

Situation and Assessment


On June 7, 2019 an incident occurred where a lab member improperly toe clipped 13 mice and it was further discovered that this procedure was not approved on the protocol. The lab decided to euthanize the mice.

The corrective actions to prevent recurrences are detailed as follows:

1. The lab was coached by DAR vet staff about various genotyping methods and their restrictions and that only approved methods within the protocol can be implemented on animals.
2. Lab personnel has been retrained by DAR trainers.

The IACUC believes its investigation of this incident was thorough and the corrective actions appropriate to prevent a similar occurrence. If you have questions regarding this incident, please contact me or Dr. David Martin, Director, IACUC Office.

Sincerely,


(b) (6)
Todd Sherer, PhD
Interim Vice President for Research Administration
Emory University

Cc: Jeff Bpatright, IACUC Chair; AAALAC

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, November 20, 2019 7:59 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Final Notification Emory University (Assurance #A3180-01)

Thank you for these reports, (b) (6) We will respond soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: (b) (6)
Sent: Monday, November 18, 2019 12:59 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: (b) (6)
Subject: Final Notification Emory University (Assurance #A3180-01)

To Whom It May Concern,

Please accept these final reports of a 1.) improper toe clipping of mice and protocol violation, 2.) death of lampreys due to low tank water and aeration, and 3.) use of the same sterile needle on two separate animals at Emory University (Assurance #A3180-01). If there are any questions or concerns, please feel free to contact me.

Best,
(b) (6)

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A 3180-01

Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Friday, June 21, 2019 8:43 AM
To: (b) (6) OLAW Division of Compliance Oversight (NIH/OD)
Cc: Martin, W David
Subject: RE: Preliminary Notification Emory University (Assurance #A3180-01)

Thank you for providing this preliminary report Dr. Martin. We will open a case file and await further information.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

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From: (b) (6)
Sent: Thursday, June 20, 2019 11:09 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Martin, W David <dwmarti@emory.edu>; (b) (6)
Subject: RE: Preliminary Notification Emory University (Assurance #A3180-01)

To Whom It May Concern,

Please accept this preliminary notification of a rodent health and welfare concern at Emory University (Assurance #A3180-01). An incident occurred where a lab member performed unapproved and improper genotyping on 13 mice. The NIH grant associated with this protocol is AG023695. This investigation is ongoing and corrective actions are still being completed. A final report will be upcoming.

W. David Martin PhD, CPIA
Director, Institutional Animal Care and Use Committee (IACUC)
Phone: (b) (6)
Email: dwmarti@emory.edu
Internet: <http://www.iacuc.emory.edu/>

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