



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

February 21, 2020

Re: Animal Welfare Assurance
#A3180-01 (OLAW Case 5T)

Dr. Robert Nobles
Vice President for Research Administration
Emory University
1599 Clifton Road NE - 1599-001-1BE
Atlanta, GA 30322

Dear Dr. Nobles,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your February 3, 2020 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at Emory University following up on an initial January 31, 2020 notification by email.

According to the information provided, this Office understands that the Emory University Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: death of a vole due to acute pulmonary hemorrhage likely sustained from trauma from an animal care technician during cage change on August 27, 2019. Corrective actions included the following:

- All animal care technicians were retrained on cage change procedures by the Animal Care Supervisor.
- SOPs were amended to reflect more specific procedures when transferring voles between cages.
- Personnel involved received disciplinary actions and received retraining on vole care.

The final report states the IACUC believes the investigation of this incident was thorough and corrective actions were appropriate. It is noted that the protocol is supported by PHS funding. Based on its assessment of this explanation, OLAW understands that Emory University has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy. We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,

(b) (6)

Jacquelyn T. Tubbs, DVM
Veterinary Medical Officer
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact



EMORY
UNIVERSITY

Institutional Animal Care and Use Committee

February 3, 2020

Brent Morse DVM
Acting Director, Division of Compliance
Oversight Office of Laboratory Animal
Welfare National Institutes of Health
RKL 1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982
Re: Emory University, Animal Welfare Assurance #A3180-01

Dear Dr. Morse,

The Emory University Institutional Animal Care and Use Committee (IACUC), through the Institutional Official, is reporting the results of an event as required by section IV, F, 3a of the *Public Health Service Policy on Humane Care and Use of Laboratory Animals* (PHS Policy). A preliminary report was provided via email to your office on January 31, 2020. This is the final report regarding the death of a vole due to trauma from cage change. The associated NIH-funded grants are 4R01MH096983-05 and P50 MH100023-06.

Situation and Assessment

On August 27, 2019, during a cage change, a vole died from acute pulmonary hemorrhage likely caused by sustained trauma from an animal care technician.

The corrective actions to prevent recurrences are detailed as follows:

1. All animal care technicians were retrained on cage change procedures by the Animal Care Supervisor.
2. SOP's were amended to reflect more specific procedures when transferring voles between cages.
3. Personnel involved received disciplinary action and received extensive re-training on vole care.

The IACUC believes its investigation of this incident was thorough and the corrective actions appropriate to prevent a similar occurrence. If you have questions regarding this incident, please contact me or Dr. David Martin, Director, IACUC Office.

Sincerely,

(b) (6)

Robert Nobles, DrPH, MPH, CIP
Vice President for Research Administration
Emory University
1599 Clifton Road NE
Atlanta, GA 30322
Office: (b) (6)
Email: Robert.e.nobles@emory.edu

Cc: Jeff Boatright, IACUC Chair; AAALAC

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Monday, February 10, 2020 1:46 PM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Final Notification Emory University (Assurance #A3180-01)

Thank you. We will respond soon.
Axel Wolff

From: (b) (6)
Sent: Monday, February 10, 2020 1:31 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: (b) (6)
Subject: Final Notification Emory University (Assurance #A3180-01)

To Whom It May Concern,

Please accept this final report of an incident that occurred at Emory University (Assurance #A3180-01) due to sustained trauma during a cage change. If there are any questions or concerns, please feel free to contact me.

Best,

(b) (6)

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Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Tuesday, February 04, 2020 11:53 AM
To: (b) (6) OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Preliminary Notification Emory University (Assurance #A3180-01)

Thank you for providing these preliminary reports. We will open three separate case files and await the final report(s).

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM
 Director
 Division of Compliance Oversight
 Office of Laboratory Animal Welfare
 National Institutes of Health

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From: (b) (6)
Sent: Friday, January 31, 2020 3:51 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: (b) (6)
Subject: Preliminary Notification Emory University (Assurance #A3180-01)

To Whom It May Concern,

Please accept these preliminary notifications of rodent health and welfare concerns at Emory University (Assurance #A3180-01).

- An incident occurred where a mouse pup died due lack of food and dehydration. The NIH grants associated with the protocol are K08AA024512-01A1 and R01-AA025857.
- An incident occurred where a vole died due to trauma during a cage change. The NIH grants associated with the protocol are 4R01MH096983-05 and P50MH1000023-06.
- An incident occurred where a vole died due to being placed in a wrong cage. The NIH grants associated with the protocol are 4R01MH096983-05 and P50MH1000023-06.

A final report will be upcoming.

Best,
 (b) (6)