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in protocol approval, and to notifying his staff to stop research activities during gaps in protocol approval.

Let us know if you have additional questions regarding this protocol noncompliance. Thank you for your consideration of this matter.

Sincerely,

(b) (6)

A large black rectangular redaction box covers the signature area.

Alan S. Rudolph, PhD, MBA
Vice President for Research
Institutional Official

Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Tuesday, January 09, 2018 7:52 AM
To: [REDACTED] OLAW Division of Compliance Oversight (NIH/OD)
Cc: Rudolph, Alan; (b) (6)
(b) (6)
Subject: RE: Final Report on protocol noncompliance--Colorado State University A3572-01

Thank you for this final report. Doctor Gopee will send an official response soon.

Regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Acting Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Please note that this message and any of its attachments are intended for the named recipient(s) only and may contain confidential, protected or privileged information that should not be distributed to unauthorized individuals. If you have received this message in error, please contact the sender.

From: (b) (6)@colostate.edu]
Sent: Monday, January 08, 2018 3:54 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Rudolph, Alan <Alan.Rudolph@colostate.edu>; (b) (6)
(b) (6)
Subject: Final Report on protocol noncompliance--Colorado State University A3572-01

Dr. Morse,
On behalf of Dr. Alan Rudolph, please see attached, final report regarding protocol noncompliance for Colorado State University, Assurance A3572-01. Preliminary report given via phone to Dr. Neera Gopee on October 17, 2017.

Let me know if you have additional questions. Thank you for your time and consideration.

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Initial Report of Noncompliance

By: Neera Gopal

Date: 10-17-17

Time: 12:31 PM

Name of Person reporting: (b) (6)

Telephone #: (b) (6)

Fax #:

Email:

Name of Institution:

Colorado State University

Assurance number:

A3572-01

Did incident involve PHS funded activity? Yes

Funding component: NIH - 4R01ES021656-04

3R01ES021656-04B1

Was funding component contacted (if necessary): _____

What happened?

Animals were transported to holding protocol noted de novo review and approval were completed but PI continued to perform incentive non-invasive sessions during July 24th - August 31st, 2017

Species involved: Mice

Personnel involved: PI

Dates and times: July 24th - August 31st, 2017

Animal deaths: None

Projected plan and schedule for correction/prevention (if known):

policy

Modify current

Projected submission to OLAW of final report from Institutional Official:

OFFICE USE ONLY

Case # _____