



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

November 8, 2018

Re: Animal Welfare Assurance
A3230-01 [OLAW Case 4Q]

Ms. Pamela S. Caudill
Senior Associate Provost
for Research Administration
Yale University – New Haven
25 Science Park, [REDACTED]
New Haven, CT 06520

Dear Ms. Caudill,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your November 6, 2018 letter reporting a serious deviation from the provisions of the *Guide for the Care and Use of Laboratory Animals* at Yale University, following up on an initial report on August 13, 2018. According to the information provided, OLAW understands that one mouse died and two were dehydrated due to a missing lixit.

The immediate action taken upon discovery consisted of providing a water bottle and moist chow for the surviving mice. The research staff was counseled on ensuring that animals have water and the animal caretaker for the room was retrained on the appropriate conduct of daily health checks.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to correct and prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy on Humane Care and Use of Laboratory Animals.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Chair

Yale Office of Research Administration

November 6, 2018

Brent Morse, DVM, DACLAM
Office of Laboratory Animal Welfare (OLAW)
National Institutes of Health
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, MD 20892

PAMELA S. CAUDILL
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for Research Administration

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courier
25 Science Park, Suite 322
New Haven CT 06511

Dear Dr. Morse:

On July 12, 2018, the Yale Animal Resource Center (YARC) identified one primary enclosure containing one deceased and two live mice. The two mice were slightly dehydrated and were provided moistened chow and a water bottle was added to the enclosure. The veterinary staff was promptly notified of the situation and determined that no additional care of the mice was necessary. In reviewing the incident, it was observed that an automatic water lixit was missing from the rack. This incident was self-reported to OLAW on August 13, 2018.

The Office of Animal Research Support post-approval monitoring staff reinforced with the responsible researcher and the principal investigator the importance of laboratories ensuring that animals have access to water. In addition, the YARC Manager conducted a training session with the Husbandry Technician servicing the room in which the incident occurred. The training focused on daily cage check expectations.

The IACUC reviewed the details of the incident and follow-up reports at its regularly scheduled meeting on August 08, 2018.

This incident has been reported to the sponsoring institute/center.

Sincerely,

(b) (6)

Pamela S. Caudill
Institutional Official
Senior Associate Provost for Research Administration

CC: Kathryn Bayne, Chief Executive Officer, AAALAC International
Gary Cline, IACUC Chair, Yale University
James Macy, Attending Veterinarian, Yale University
Troy Hallman, Director, Animal Research Support, Yale University

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Morse, Brent (NIH/OD) [E]

From: Morse, Brent (NIH/OD) [E]
Sent: Tuesday, August 14, 2018 8:05 AM
To: 'Hallman, Troy'
Subject: RE: Self report

Thank you for this report Troy. We will open a case file and await further information. I'll get back to you concerning the case number.

Best regards, Brent

Brent C. Morse, DVM, DACLAM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

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From: Hallman, Troy [mailto:troy.hallman@yale.edu]
Sent: Monday, August 13, 2018 4:38 PM
To: Morse, Brent (NIH/OD) [E] <morseb@mail.nih.gov>
Subject: Self report

Hi Brent,

We recently identified a cage of mice that did not have a functioning lixit. One mouse was found dead and 2 others were treated for dehydration.

Will this be 4Q? In June, I reported 4 cases to Neera (you were away) and the 4th one was 4Q, but I soon withdrew it because it actually was not associated with PHS/NSF funds.

Troy

Troy Hallman, MS, VMD, DACLAM
Director, Office of Animal Research Support
Yale University
25 Science Park - 3rd floor
New Haven, CT 06520
(b) (6) (office)

OARS Suggestion Box