

# **USDA-APHIS-Animal Care**



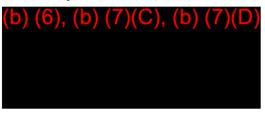
ANIMAL WELFARE COMPLAINT					
Complaint No.	Date Entered:		Processe	d Bv:	
AC20-160	26-Feb-20		AVB	<b>- ,</b> ·	
Referred To:				Reply	/ Due:
Andricos / Garland				29-M	ar-20
	Facility or	Person Compla			
Name:			Custome	r No.:	License No.:
University of Californ	iia - Los Angeles		9194		93-R-0435
Address:				Email Add	lress:
UCLA School of Medi	cine- KLAM 10833	Le Conte Ave.,			
Rm 16-222 HCHS		Charles		N	
City:		State:		hone No.:	75
Los Angeles	<u> </u>	CA omplainant Info		310) 794-257	/5
Name:		ompiamant mic	Organiza	tion:	
(b) (6), (b) (7)(C), (b) (7)(D)			(b) (6), (b) (7)	ition.	
Address:				Email Add	ress:
(b) (6), (b) (7)(C), (b)	(7)(D)			(b) (6), (b) (7)(C	s), (b) (7)(D)
City:		State:		none No.:	
(b) (6), (a) (7)(C), (i)		(b) (6), (l	(b)	(6), (b) (7)(C), (b) (7)	(D)
How was the Compla	int received?				
Email					
Details of Complaint SEE ATTACHED	:				
and a second pig had both pigs to prevent During the inspectio	l an totally obstruct unnecessary pain o n conducted by Dr. A did not have Pero	ted ureter a uret or distress. Neith Amanda White omyscus spp. at U	er. The fac er of thes and I on 13 ICLA. Furth	cility immed e events wo 3 February 2 ner, they di	2020 (after the date of d not have any recent
Application Kit Prov	ided: No: 🖂				
Inspector:					Date:
Michael A. Schnell					26-Mar-20
Reviewed By: KAT	HLEEN GARL	AND Digitally sign	ned by KATHL 3.26 11:30:21	EEN GARLAND -07'00'	Date: 26-Mar-20

#### **United States Department of Agriculture**

February 26, 2020

Animal and Plant Health Inspection Service

Animal Care 4700 River Road Riverdale, MD 20737



#### Dear Complainant:

Thank you for your corresopondence dated 4-Feb-20. We are reviewing your concerns and assigned tracking number AC20-160. Please allow us enough time (30 to 60 days) to thoroughly look into your concerns. You may submit a request to the Animal and Plant Health Inspection Service (APHIS) Freedom of Information Act (FOIA) office to obtain any publically available information regarding our review.

FOIA requests can be submitted three ways:

- 1. Web Request Form: https://efoia-pal.usda.gov/App/Home.aspx
- 2. Fax: (301) 734-5941
- 3. U.S. Mail: USDA-APHIS-FOIA 4700 River Road, Unit 50 Riverdale, MD 20737

Should you have any questions regarding the APHIS FOIA process or need assistance using the Web Request Form please contact the APHIS FOIA office at 301-851-4102.

Animal Care is a program within the U.S. Department of Agriculture (USDA) that directs activities to ensure compliance with and enforcement of the Animal Welfare Act and the Horse Protection Act. Animal Care establishes standards of humane treatment for regulated animals and monitors and achieves compliance through inspections, enforcement, education, and cooperative efforts under the Acts.

Please be assured that we will look into your concern(s) and take appropriate action(s).

Thank you for your interest into the humane treatment of these animals.

Sincerely,

Betty Goldentyer Deputy Administrator

Animal Care

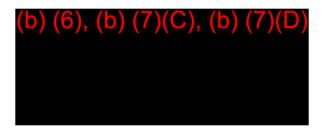
#### Benson, Amy V - APHIS

From: (b) (6), (b) (7)(C), (b) (7)(D)

Sent: Tuesday, February 4, 2020 3:13 PM

To:Gibbens, Robert - APHISCc:APHIS-AnimalCare

Subject: Official complaint -- UCLA



Dr. Robert Gibbens

2/4/20

Director, Western Region, USDA/APHIS/AC, 2150 Center Ave. Building B, Mailstop 3W11 Fort Collins, CO 80526-8117

Dr. Gibbens,

I am writing to you today to file an Official Complaint against the University of California, Los Angeles (93-R-0435), for clear violations of the Animal Welfare Act which took the life of one animal and allowed three others to escape from their enclosures.

I have recently obtained reports of unexpected outcomes and adverse incidents which were reported to the UCLA ARC (Animal Research Committee). The attached reports list multiple incidents which must be investigated ASAP.

First, a report dated 8/29/19 details an incident which led to the death of a research subject. During a bungled surgical procedure, UCLA research staff inflicted "laser punctures" which "lead to significant blood loss" necessitating immediate euthanasia for the research subject, a pig. Apparently the pig was in the process of bleeding to death. This botched surgical procedure potentially violates multiple regulations including, but not limited to: Sec. 2.33 Attending veterinarian and adequate veterinary care, Sec. 2.38 (f)(1) Animal Handling, Sec. 2.32 Personnel Qualifications, 2.31 Institutional Animal Care & Use Committee, etc.

A second report dated 5/24/19, discloses the fact that UCLA staff allowed three peromyscus mice to escape from an enclosure. This incident clearly violates **Sec. 2.38 (f)(1) Animal Handling.** 

I hereby file an Official Complaint against the University of California, Los Angeles, for failure to provide adequate veterinary care, unqualified personnel, improper animal handling, etc.. I must insist that your office institute an immediate investigation.

I know that your office considers major violations of the Animal Welfare Act to be very serious in nature, especially when these violations kill or seriously injure animals. I must insist that you take the most severe action allowable under the Animal Welfare Act and immediately begin the process of issuing the maximum fine allowable against the University of California, Los Angeles, at the completion of your investigation -- \$10,000 per infraction/per animal.

I look forward to hearing from you in the near future about the fate of this facility.

Sincerely,

# (b) (6), (b) (7)(C), (b) (7)(D)

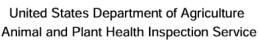
(b) (6), (b) (7)(C), (b) (7)(D



# (b) (6), (b) (7)(C), (b) (7)(D)



# AR submitted to IACUC 5/24/19 \*\*Annual Review Distance and the processing of the control with visital hadds, behavior or well being some during the base of the process o



2016082569396341 Insp\_id



#### Inspection Report

University Of California Los Angeles U.C.L.A. School Of Medicine - D L A M 10833 Le Conte Avenue, Room 16-222 H C H S Los Angeles, CA 90095

Customer ID: 9194

> Certificate: 93-R-0435

> > Site: 001

THE UNIVERSITY OF CALIFORNIA, LOS ANGELES

Type: FOCUSED INSPECTION

Date: 05-MAR-2019

No non-compliant items identified during this inspection.

This inspection and exit interview were conducted on March 5 and 7, 2019 with facility representatives.

Digitally signed by MICHAEL MICHAEL SCHNELL SCHNELL

Prepared By:

Date: 2019.03.26 12:17:03 -07'00'

SCHNELL MICHAEL, D V M USDA, APHIS, Animal Care Date: 26-MAR-2019

VETERINARY MEDICAL OFFICER 6100 Title:

Received By:

20-03433\_000 ACILITY REPRESENTATIVE

**SENT VIA EMAIL** Title:

Obtained by Rise for Affinals. Uploaded 07/10/2020 26-MAR-2019



#### United States Department of Agriculture Animal and Plant Health Inspection Service

Customer: 9194
Inspection Date: 05-MAR-19

# **Species Inspected**

Cust No	Cert No	Site	Site Name	Inspection
9194	93-R-0435	001	THE UNIVERSITY OF CALIFORNIA, LOS ANGELES	05-MAR-19
No Animals	were Inspected.			
Count	Scientific Name		Common Name	
000000	NONE		NONE	
000000	Total			

20-03433\_000087

Obtained by Rise for Animals. Uploaded 07/10/2020

#### Schnell, Michael A - APHIS

From: (b) (6), (b) (7)(C)@research.ucla.edu>

**Sent:** Tuesday, March 26, 2019 12:41 PM

To: Schnell, Michael A - APHIS
Cc: Andricos, Alexandra - APHIS

Subject: RE: Inspection Report for IR\_9194\_UCLA\_05Mar2019 signed

Thank you – I confirm receipt of the inspection report.



From: Schnell, Michael A - APHIS <michael.a.schnell@usda.gov>

Sent: Tuesday, March 26, 2019 12:19 PM

To: (b) (6), (b) (7)(C) @research.ucla.edu>

Cc: Andricos, Alexandra - APHIS <alexandra.andricos@usda.gov>
Subject: Inspection Report for IR\_9194\_UCLA\_05Mar2019 signed

Please find attached the Inspection Report your facility from your most recent inspection. Please reply to this email to only acknowledge receipt of this document.

Sincerely, Michael A. Schnell, DVM, MBA Veterinary Medical Officer USDA, APHIS, Animal Care



This electronic message contains information generated by the USDA solely for the intended recipients. Any unauthorized interception of this message or the use or disclosure of the information it contains may violate the law and subject the violator to civil or criminal penalties. If you believe you have received this message in error, please notify the sender and delete the email immediately.



White Amanda, Veterinary Medical Officer

# United States Department of Agriculture Animal and Plant Health Inspection Service

#### **Teachable Moments**

Legal Name: UNI	VERSITY OF CALIFORNIA LOS AN	GELES	
Customer Number:	9194	Certificate Number:	93-R-0435
Inspection Date:	05-MAR-19	Site Number:	001
Inspector:	MICHAEL SCHNELL, D V M,		
Section:			
3.125(a) FACILITIE	ES, GENERAL.		
Primary e	nclosures must be maintained in goo	d repair to contain the anima	s and protect them from
injury.			
Additional Inspect	ors		

#### Schnell, Michael A - APHIS

From: (b) (6), (b) (7)(C) @research.ucla.edu>

**Sent:** Tuesday, March 26, 2019 12:41 PM

To: Schnell, Michael A - APHIS
Cc: Andricos, Alexandra - APHIS

Subject: RE: Teachable Moment TM\_9194\_UCLA\_05Mar2019

Thank you – I confirm receipt of the teachable moment.



From: Schnell, Michael A - APHIS <michael.a.schnell@usda.gov>

Sent: Tuesday, March 26, 2019 12:23 PM

To: (b) (6), (b) (7)(C) @research.ucla.edu>

Cc: Andricos, Alexandra - APHIS <alexandra.andricos@usda.gov>
Subject: Teachable Moment TM\_9194\_UCLA\_05Mar2019

Please find attached the Teachable Moment for your facility from your recent inspection. Please reply to this email to only acknowledge receipt of this document.

Sincerely, Michael A. Schnell, DVM, MBA Veterinary Medical Officer USDA, APHIS, Animal Care



This electronic message contains information generated by the USDA solely for the intended recipients. Any unauthorized interception of this message or the use or disclosure of the information it contains may violate the law and subject the violator to civil or criminal penalties. If you believe you have received this message in error, please notify the sender and delete the email immediately.

# Research Facility Protocol Selection Worksheet\*

Legal Name: University of California Los Angeles

Customer Number: 9194 Certificate Number: 93-R0435 Site Number: 001

Inspection Date: 07-Mar-2019

Inspection Type: Routine ☐ Focused ✓ (list areas inspected) Paperwork

Inspector: Schnell, White

Reasons Protocols Were Selected for Review :		How Many Protocols Were Selected	
1.	Protocols identified during inspection of concern (select all)	1	
2.	Column E protocols ( select all)	2	
3.	Protocols with IACUC-approved exemptions/exceptions (select all)	0	
4.	Protocols cited as noncompliant and not corrected during the last inspection (select all)	0	
5.	Additional Protocols Selected:  a. If <5 remaining protocols, select all remaining:  b. If >5 remaining protocols, select 5 additional protocols:  1) Protocol for each regulated species and/or,  2) Protocols involving high risk procedures (see Chapter 7, Animal Welfare Inspection Guide for guidance):	5	
To	otal Protocols Selected and Reviewed	8	

<sup>\*</sup>Note: Protocol selection guidance applies to protocols which have been initially approved, or have had significant changes approved, since the last inspection. For protocols reviewed by an Animal Care Veterinary Medical Officer within the last year, professional judgment should be used in determining whether another review is necessary.

Version 2/11/19