



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE  
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500, MSC 6910  
Bethesda, Maryland 20892-6910  
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500  
Bethesda, Maryland 20817  
Telephone: (301) 496-7163  
Facsimile: (301) 402-7065

March 12, 2019

Re: Animal Welfare Assurance  
A319601 [OLAW Case 6F]

Dr. Roger M. Wakimoto  
Vice Chancellor for Research  
University of California, Los Angeles  
(b) (4) Murphy Hall - 104501  
Los Angeles, CA 90024-1405

Dear Dr. Wakimoto,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your March 7, 2019 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of California- Los Angeles. According to the information provided, OLAW understands that mice only received one of the two prescribed post-operative analgesics listed in the approved protocol.

The corrective action consisted of counseling the Principal Investigator and staff on the requirement to follow the protocol as written.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to correct and prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.  
Deputy Director  
Office of Laboratory Animal Welfare

cc: IACUC Chair  
Jennifer Perkins, M.A., Director RSAWA

A3196-6F

**UCLA** Vice Chancellor for Research

Roger M. Wakimoto, Ph.D.  
2248 Murphy Hall  
Mail code 140501  
Phone: (310) 825-7043  
Email: [rwakimoto@concl.ucla.edu](mailto:rwakimoto@concl.ucla.edu)

March 7, 2019

Axel V. Wolff, MS, DVM  
Director, Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
National Institutes of Health  
Rockledge 1, Suite 360, MSC 7982  
6705 Rockledge Drive  
Bethesda, MD 20892-7982

RE: Report of an Incident of Noncompliance  
Animal Welfare Assurance A3196-01

Dear Dr. Wolff:

I am writing to provide you with a report of noncompliance that involved non-USDA covered species (mice) for PHS-supported activities<sup>1</sup>. The award number associated with this noncompliance is R01ES024434. A report was not provided sooner as the Chancellor's Animal Research Committee (ARC) only recently discovered that this noncompliance was associated with a PHS award.

At a convened meeting on January 14, 2019, the Committee reviewed a report from the ARC Animal Welfare Coordinator, summarizing findings identified during a vivarium walk-through on November 28, 2018 that included failure to provide bimodal post-operative analgesia as described in the protocol. Although the PI's lab manager addressed the visit findings, the ARC asked for a response from the PI, including a plan to ensure that both carprofen and buprenorphine are given to all post-surgical animals (only carprofen was being administered) as described in the protocol, and an in-person meeting between all members of the lab, the Clinical Veterinarian, and the Animal Welfare Administrator. The requested meeting occurred on February 8, 2019 and a summary of the discussion was subsequently sent to the PI.

Based on the corrective actions, the ARC is satisfied that the matter has been fully investigated and may be considered closed.

At UCLA, the ARC is responsible for overseeing an animal program involving approximately 1,000 protocols for over 400 different PIs. Despite the complex nature of this program, we are proud to report that incidents of noncompliance are infrequent. When such incidents do transpire, they are investigated promptly and corrective actions are implemented, including education and retraining as appropriate.

If you have any questions or concerns, please contact the RSAWA Director at (b) (6)

<sup>1</sup> In accordance with NOT-OD-07-044 the institution will ensure that NIH grant funds were not used in any noncompliant activities described in this report.

Sincerely,

(b) (6)

**Roger M. Wakimoto**  
**Vice Chancellor for Research**

Cc: AAALAC  
ARC Chair  
Associate Vice Chancellor for Research  
Director – Research Safety & Animal Welfare Administration  
Director – Office of Contracts & Grants Administration  
DLAM Executive Director and Attending Veterinarian

**Morse, Brent (NIH/OD) [E]**

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**From:** OLAW Division of Compliance Oversight (NIH/OD)  
**Sent:** Monday, March 11, 2019 12:59 PM  
**To:** Perkins, Jennifer; Wolff, Axel (NIH/OD) [E]; OLAW Division of Compliance Oversight (NIH/OD)  
**Cc:** [REDACTED] (b) (6)  
**Subject:** RE: Report of an Incident of Noncompliance (AWA #A319601)

Thank you for providing this report. We will send an official response soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM  
Director  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
National Institutes of Health

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**From:** Perkins, Jennifer [mailto:JPerkins@research.ucla.edu]  
**Sent:** Monday, March 11, 2019 12:56 PM  
**To:** Wolff, Axel (NIH/OD) [E] <wolffa@od.nih.gov>; OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>  
**Cc:** [REDACTED] (b) (6)  
[REDACTED] (b) (6)  
[REDACTED] (b) (6) accredit@AAALAC.org  
**Subject:** Report of an Incident of Noncompliance (AWA #A3196-01)

Dear Dr. Wolff,

Please see the attached report of noncompliance that was recently investigated by the UCLA IACUC. Please feel free to contact me if you have any questions.

Regards,  
Jennifer

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Jennifer Perkins, MA, CPIA  
Director – Research Safety & Animal Welfare Administration (RSAWA)  
Institutional Contact for Dual Use Research (ICDUR)  
[REDACTED] (b) (6)